# Artificial Inteligence - Resolution for propositional calculus

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• The major interest of computer scientists in propositional and predicate calculus has been to exploit its expressive power to prove theorems:

Theorem: Premise 1, Premise 2, ..., Premise  $n \vdash$  Conclusion

- In the field of Artificial Intelligence, there have been many attempts to construct programs that could prove theorems automatically.
- Given a set of axioms and a technique for deriving new theorems from old theorems and axioms, would such a program be able to prove a particular theorem?

## Automated theorem proving

- Early attempts faltered because there seemed to be no efficient technique for deriving new theorems.
- 1965: J.A.Robinson at Syracuse University discovered the technique called resolution.



John Allan Robinson, born 1928

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- A disjunction of literals is called a clause. Hence, all formulas involved in resolution theorem proving must be clauses.
- Resolution follows the refutation principle; that is, it shows that the negation of the conclusion is inconsistent with the premises.
- There is essentially only one rule of formal deduction, resolution.

- In a refutation system one proves that the argument  $A_1, A_2, \ldots, A_n \vdash \neg C$  is valid by showing that  $A_1, A_2, \ldots, A_n$  and  $\neg C$  cannot all be true.
- In other words one shows that the formulas

$$A_1, A_2, \ldots, A_n, \neg C$$

are inconsistent.

 This is shown by proving that for some formula P, both P and ¬P can be derived.

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- Each term of the conjunction is then made into a clause of its own.





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This yields the two clauses  $\neg P \lor Q$  and  $\neg P \lor R$ .

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- The clauses giving rise to the resolvent are called parent clauses.
- The resolvent on *P* is the disjunction of all literals of the parent clauses, except that *P* and ¬*P* are omitted from the resolvent.

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The resolvent is the disjunction of  $P \lor R$  with  $\neg S$ , which yields  $P \lor R \lor \neg S$ .

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To see this, let P be a propositional variable, and let A and B be (possibly empty) clauses. One has

$$P \lor A, \neg P \lor B \models A \lor B$$

This is valid for the following reasons.

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- Since *P* must be true or false, either *A* or *B* must be true, and the result follows.
- Of course, A ∨ B is the resolvent of the parent clauses
  P ∨ A and ¬P ∨ B on P, which proves the soundness of resolution.

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Example: The two clauses  $\neg P$  and P have the empty clause as a resolvent, which is correct, since P and  $\neg P$  are contradictory and therefore false like the empty clause.

#### Prove Modus Ponens by resolution

#### $P, P ightarrow Q \vdash Q$

$$P, P \rightarrow Q \vdash Q$$

- 1. P Premise
- 2.  $\neg P \lor Q$  Premise
- 3.  $\neg Q$  Negation of conclusion
- 4. Q Resolvent of 1, 2
- 5. 0 Resolvent of 3, 4

## Prove Hypothetical Syllogism by resolution

#### $P \rightarrow Q, Q \rightarrow R \vdash P \rightarrow R$

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- 1.  $\neg P \lor Q$  Premise
- 2.  $\neg Q \lor R$  Premise
- 3. *P* Derived from negation of conclusion
- 4.  $\neg R$  Derived from the negation of conclusion
- 5. *Q* Resolvent of 1, 3
- 6.  $\neg Q$  Resolvent of 2, 4
- 7. 0 Resolvent of 5, 6

When doing resolution automatically, one has to decide in which order to resolve the clauses. This order can greatly affect the time needed to find a contradiction. Strategies include:

- Unit resolution: all resolutions involve at least one unit clause. Moreover, preference is given to clauses that have not been used yet.
- Set of support strategy
- Davis Putnam procedure

### Example of unit resolution

Prove  $P_4$  from  $P_1 \rightarrow P_2$ ,  $\neg P_2$ ,  $\neg P_1 \rightarrow P_3 \lor P_4$ ,  $P_3 \rightarrow P_5$ ,  $P_6 \rightarrow \neg P_5$ and  $P_6$ .

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1.	$\neg P_1 \lor P_2$	Premise
2.	$\neg P_2$	Premise
3.	$P_1 \vee P_3 \vee P_4$	Premise
4.	$\neg P_3 \lor P_5$	Premise
5.	$\neg P_6 \lor \neg P_5$	Premise
6.	$P_6$	Premise
7.	$\neg P_4$	Negation of conclusion
8.	$\neg P_1$	Resolvent of 1, 2
9.	$\neg P_5$	Resolvent of 5, 6
10.	$P_1 \vee P_3$	Resolvent of 3, 7
11.	$\neg P_3$	Resolvent of 4, 9
12.	<i>P</i> <sub>3</sub>	Resolvent of 8, 10
13.	0	Resolvent of 11, 12

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- The premises are Q ∨ R, Q ∨ ¬R, and ¬Q ∨ R, and the conclusion is Q ∧ R.
- In this case there is no unit clause, which makes unit resolution impossible.

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- The auxiliary set is formed in such a way that the formulas in it are not contradictory.
- For instance, the premises are usually not inconsistent (not contradictory). The inconsistency only arises after one adds the negation of the conclusion.
- One often uses the premises as the initial auxiliary set and the negation of the conclusion as the initial set of support.

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- The resolvent is then added to the set of support.
- Resolution with the set of support strategy is complete.

Prove  $P_4$  from  $P_1 \rightarrow P_2, \neg P_2, \neg P_1 \rightarrow P_3 \lor P_4$ ,  $P_3 \rightarrow P_5$ ,  $P_6 \rightarrow \neg P_5$ and  $P_6$ , by using the set of support strategy.

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One then does all the possible resolutions involving  $\neg P_4$ , then all possible resolutions involving the resulting resolvents, and so on.

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If the initial 7 clauses are omitted, this yields the following derivation:

- 8.  $P_1 \lor P_3$  Resolvent of 7, 3
- 9.  $P_2 \vee P_3$  Resolvent of 1, 8
- 10.  $P_3$  Resolvent of 2, 9
- 11.  $P_5$  Resolvent of 4, 10
- 12.  $\neg P_6$  Resolvent of 5, 11
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- For this reason, one frequently treats clauses as sets, which allows one to speak of the union of two clauses.

#### Resolution as operation between sets

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• If clauses are represented as sets, one can write the resolvent of two clauses *A* and *B* on *P* as follows:

$$C = (A \cup B) \setminus \{P, \neg P\}.$$

• In words, the resolvent is the union of all literals of A and B except that the two literals involving P are omitted.

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- Discard all clauses with P or  $\neg P$  in them.

## DPP - Eliminating a variable

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- So the output of DPP either the empty clause or no clauses.
- This may seem rather subtle but just think of the difference between arriving in the library with (1) an empty backpack and (2) no backpack.

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- Let U<sub>i</sub> be the set of resolvent clauses obtained by resolving (over P<sub>i</sub>) every pair of clauses C ∪ {P<sub>i</sub>} and D ∪ {¬P<sub>i</sub>} in T<sub>i</sub>.

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- Let *i* be increased by 1.
- ENDLOOP.
- Output  $S_{n+1}$ .

### Example

#### Let us apply the Davis-Putnam procedure to the clauses

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- Eliminating P gives {Q}, {¬Q, ¬R, S}, {R}, {¬S} (This is S<sub>2</sub> and S<sub>2</sub>').
- Eliminating Q gives  $\{\neg R, S\}, \{R\}, \{\neg S\}$ . (This is  $S_3$  and  $S'_3$ .)
- Eliminating R gives  $\{S\}, \{\neg S\}$ . (This is  $S_4$  and  $S'_4$ .)
- Eliminating S gives  $\{\}$ . (This is  $S_{5.}$ )

So the output is the empty clause.

#### Comments

• If the set of clauses is more complicated, before each phase of applying resolution we number the clauses (the  $T_i$  steps) and in the next phase (the  $U_i$  steps) we provide two numbers with each clause, to describe the two clauses used to provide that resolvent.

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- If the output of DPP is the empty clause, this indicates that both P and ¬P were produced, that is, the clauses that originated from the premises and negation of the conclusion are inconsistent, that is, the original argument (theorem) is valid.
- If the output of DPP is no clause, no contradiction can be found, and the original argument (theorem) is not valid.

#### Theorem [The DPP is sound and complete].

Let S be a finite set of clauses. Then S is not satisfiable iff the output of the Davis-Putnam procedure is the empty clause.