## CS 4400 Computer Systems

#### LECTURE 4

Representing floats Floating-point arithmetic

#### Floating Point

- Floating-point representation encodes rational numbers of the form  $V = x \times 2^y$ .
  - useful for numbers very large and very close to 0, why?
- Until the 1980s, there were many different conventions for how to represent floats and the operations on them.
  - accuracy not biggest concern, what was?
- Around 1985, IEEE Standard 754 surfaced as a carefully crafted standard for floating point.
  - by Kahan et al., now supported by virtually all computers

#### Fractional Numbers

• Decimal: 
$$d_m d_{m-1} \cdots d_1 d_0 d_1 d_2 \cdots d_n$$
  $d = \sum_{i=-n}^{m} 10^i \times d_i$ 

- Binary:  $b_m b_{m-1} \cdots b_1 b_0 \cdot b_{-1} b_{-2} \cdots b_{-n}$   $b = \sum_{i=-n}^{n} 2^i \times b_i$
- *Example*:  $101.11_2 = 2^2 + 2^0 + 2^{-1} + 2^{-2} = 5 3/4$
- What is the effect of shifting the binary point right/left?
- With finite-length encodings, there are decimal (and binary) fractions that cannot be represented exactly.
  - $1/3 = 0.33333..._{10}$
  - $1/5 = 0.001100110011..._{2}$

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#### **Clicker Question**

If you have ResponseCard clicker, channel is **41**. If you are using ResponseWare, session id is **CS1400U**.

Represent the value 51/32 as a binary number.

- A. 0.010011
- B. 0.100101
- C. 1.100011
- D. 1.100110
- E. It cannot be represented exactly.
- F. I don't know.

#### **IEEE Floating-Point Representation**

- Represents a number of the form  $V = (-1)^s \times M \times 2^E$
- s: sign bit, interpretation for numeric value 0 is special
- *E*: exponent, weights by a power of 2
  - *k* bits (*k*=8 for single precision, *k*=11 for double), exp field
- *M*: significand, a fractional binary number
  - ranges [1, 2) or [0, 1), depending on whether the exp field is 0
  - *n* bits (*n*=23 for single precision, *n*=52 for double), frac field
- The value encoded by a given bit representation is divided into three cases, depending on the value of exp.
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#### Case 1: Normalized Values

- Occurs when bit pattern of exp is neither all 0s nor all 1s.
- exp field interpreted as a signed integer in biased form
  - Bias =  $2^{k-1} 1$
  - Let e be the unsigned number represented by bits in exp field.
  - The actual exponent value is  $E = e (2^{k-1} 1)$ .
  - For double (k=11),  $-1022 \le E \le 1023$ . For single (k=8)?
- frac field interpreted as fractional value  $0 \le f \le 1$ 
  - The significand value is M = 1 + f.
  - "Implied leading 1" representation gets additional bit for free
  - Thus, the range of M is [1,2).

#### **Clicker Question**

*Recall*: single precision uses 8 exp bits and 23 frac bits

$$E = e - (2^{k-1} - 1), M = 1 + f, V = (-1)^s \times M \times 2^E$$

- A. 0
- B. 0.5
- C. 1
- D. 2
- E. It is not a normalized value.

#### Case 2: Denormalized Values

- Occurs when bit pattern of exp is all 0s (numeric value 0).
- The exponent value is  $E = 1 (2^{k-1} 1)$ .
- The significand value is M = f.
  - Without "implied leading 1".
  - Thus, the range of M is [0,1).
- Why have denormalized numbers?
  - Can represent numeric value 0. Why cannot with normalized?
  - Can represent numbers very close to 0.
  - *Gradual underflow*—possible values are spaced evenly near 0.0.

#### Case 3: Special Values

- Occurs when bit pattern of exp is all 1s (numeric value
   255 for single or 2047 for double).
- When the frac field is all 0s, the resulting value is ∞ (positive or negative, depending on s).
- When the frac field is nonzero, the resulting value is called a "NaN" (Not a Number).
  - Represents a result that cannot be given as a real number or as infinity.

#### *Example*: 6-bit Format

Assume a hypothetical 6-bit format with k=3 exponent bits and n=2 significand bits. What is the exponent bias?



- What are the normalized numbers with maximum magnitude? e = ? E = ? f = ? M = ? V = ?
- Are the representable numbers uniformly distributed?

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#### *Exercises*: 5-bit Format

Assume a hypothetical 5-bit format with k=2 exponent bits and n=2 significand bits. The exponent bias is  $2^{k-1} - 1 = 1$ .

S	e_e_0	f <sub>1</sub> f <sub>0</sub>	e	E	f	Μ	V
0	00	00					
0	00	10					
0	01	01					
0	10	11					
0	11	00					
0	11	10					

#### Properties of IEEE Floating Point

- The value +0.0 always has a bit pattern of all 0s.
- The smallest denormalized value > 0 has a bit pattern consisting of 1 in LSB and all 0s elsewhere.

• 
$$M = f = 2^{-n}, E = 1 - (2^{k-1} - 1) = -2^{k-1} + 2$$

• 
$$V = M \times 2^{E} = 2^{(-n-2^{k-1}+2)}$$

 The largest denormalized value has a bit pattern consisting of an all-0 exp field and an all-1 frac field.

• 
$$M = f = 1 - \text{epsilon}, E = 1 - (2^{k-1} - 1) = -2^{k-1} + 2$$

•  $V = M \times 2^{E} = (1 - \text{epsilon}) \times 2^{(-2^{k-1}+2)}$ 

#### More Properties of IEEE FP

 The smallest normalized value > 0 has a bit pattern consisting of 1 in LSB of exp field and all 0s elsewhere.

• 
$$M = 1 + f = 1, E = e - (2^{k-1} - 1) = -2^{k-1} + 2$$

• 
$$V = M \times 2^{E} = 2^{(-2^{k-1}+2)}$$

• The value 1.0 has a bit pattern with all but the MSB of the exp field set to 1 and all other bits set to 0.

• 
$$M = 1 + f = 1, E = e - (2^{k-1} - 1) = 0$$

#### More Properties of IEEE FP

• The largest normalized value has a bit pattern consisting of 0 in LSB of exp field and all 1s elsewhere.

• 
$$M = 1 - f = 2 - \text{epsilon}, E = e - (2^{k-1} - 1) = 2^{k-1} - 1$$

• 
$$V = M \times 2^{E} = (2 - \text{epsilon}) \times 2^{(2^{k-1}-1)}$$

#### Rounding

- For a real value *x*, find the "closest" matching *x*' representable in floating-point format.
- The key problem is to define the direction to round a value that is halfway between two possibilities.
- Another approach is to determine representable values  $x^$ and  $x^+$  such that  $x^- \le x \le x^+$  is guaranteed.
- IEEE floating-point format defines four rounding modes.
  - The default mode finds *x*'.
  - The other three can be used to compute  $x^-$  and  $x^+$ .

### Rounding Modes

- Round-to-even (aka round-to-nearest) mode-default
  - rounds either upward or downward such that least-significant digit of the result is even, e.g., both \$1.50 and \$2.50  $\rightarrow$  \$2
- *Round-to-zero* mode
  - rounds positive numbers downward and negative numbers upward, giving value x'' such that  $|x''| \le |x|$
- *Round-up* mode
  - rounds all numbers upward, giving value  $x^-$  such that  $x^- \le x$
- Round-down mode

• rounds all numbers downward, giving value  $x^+$  such that  $x \le x^+$ CS 4400—Lecture 4

#### **Floating-Point Operations**

- The result of floating-point addition or multiplication is simply the exact result of the operation defined over real numbers, and then rounded (to be representable).
- Floating-point addition is not associative.
  - for single precision, (3.14 + 1e10) 1e10 is 0.0
  - but, 3.14 + (le10 le10) is 3.14
- Floating-point multiplication is not associative or distributive over addition.
  - for single precision, 1e20 \* (1e20 1e20) is 0.0
  - but, 1e20 \* 1e20 1e20 \* 1e20 is NaN CS 4400—Lecture 4

#### **Clicker Question**

# True or False: In C, all int values can be represented as float values.

- A. true
- B. false
- C. I don't know.

#### Floating Point in C

- Single precision: float, double precision: double
- Round-to-even mode
- C standard does not require IEEE format—no (standard) way to change rounding modes or get special values.
  - most systems provide access to such features, but details vary
- Casting among types changes numeric values as follows:
  - int to float: may be rounded
  - int/float to double: exact numeric value is preserved
  - double to float: may overflow or be rounded

• float/double to int: truncated toward zero, may overflow CS 4400—Lecture 4 19

#### **Clicker Questions**

Always true? *Click* A: yes, B: no, C: I don't know.

Assume: int x, float f, double d

- x == (int)(float)x
- x == (int)(double)x
- f == (float)(double)f
- d == (double)(float)d
- f == -(-f)
- 2/3 == 2/3.0
- $(d \ge 0.0) || ((d*2) < 0.0)$
- (d+f) d == f

#### **Extended Precision**

- Floating-point registers of the IA32 processors use
  80-bit extended-precision format (with x87, not SSE).
  - k=15 exponent bits, n=63 fraction bits
- When normal single- and double-precision numbers are loaded from memory, they are converted to this format.
- Arithmetic is always performed in the extended format.
- Numbers are converted back to single- or doubleprecision as they are stored to memory
- Can lead to undesirable consequences (see text). CS 4400—Lecture 4

#### Summary: Representing Information

- Groups of bits are interpreted differently for integers, real numbers, and character strings.
  - encoding and byte-ordering conventions differ across machines
- C is designed to accommodate a wide range of word sizes and encodings.
  - most machines use two's complement and IEEE format
- In casting between signed and unsigned integers, the underlying bit patterns do not change.
- Due to finite encoding length, properties of computer arithmetic differ from those of integer/real arithmetic. CS 4400—Lecture 4 22

#### Summary: Representing Information

- Overflow—a result exceeds representable range.
- Underflow—a floating-point value is so close to 0.0, it is represented as such.
- Properties of computer arithmetic allow compilers to do many optimizations.
  - such as replacing  $7 \times x$  with (x < < 3) x
- Floating-point arithmetic must be used carefully because of its limited range and precision, as well as, because it does not obey some common math properties. CS 4400—Lecture 4 23