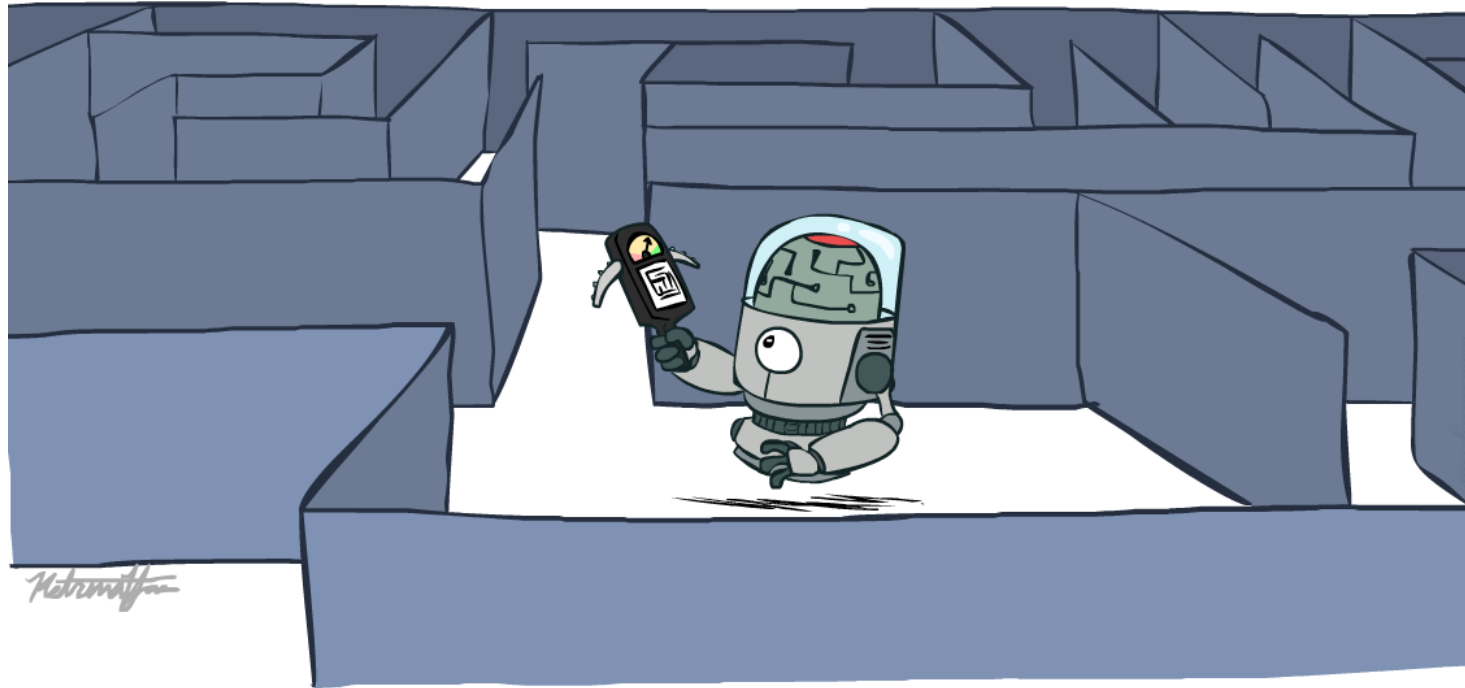


# Announcements

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- Project 0: Python Tutorial
  - Due Jan 16th before midnight
- Homework 1
  - Due Jan 18<sup>th</sup> before midnight
  - Covers today's lecture.
  - You can start today!
  - Look at the practice problems first!

# CS 6300: Search



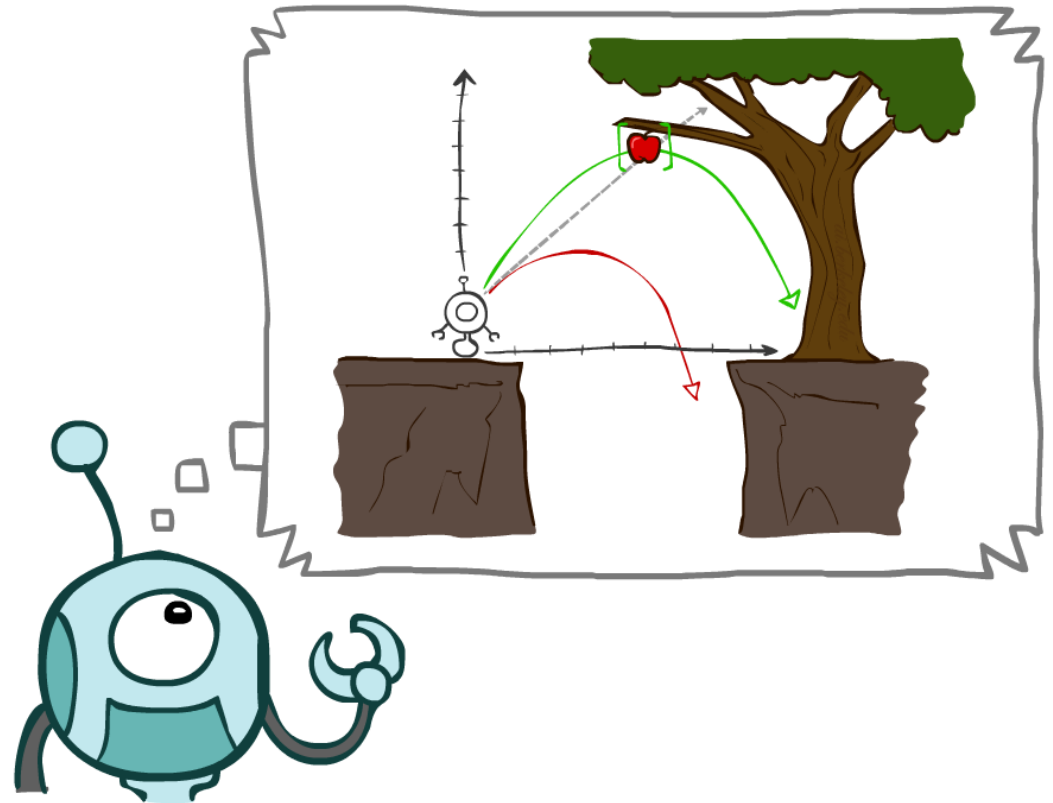
Instructor: Daniel Brown

University of Utah

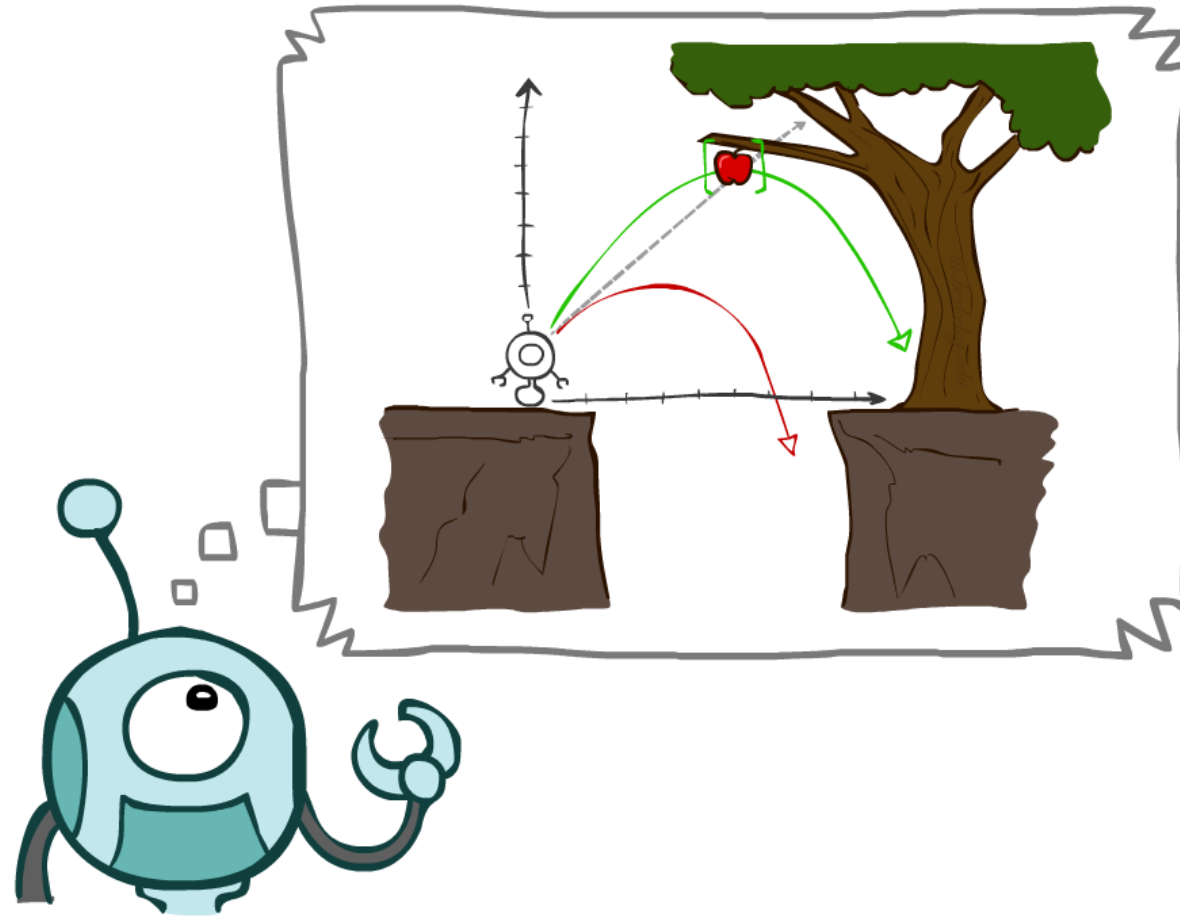
[Based on slides created by Dan Klein and Pieter Abbeel for CS188 Intro to AI at UC Berkeley <http://ai.berkeley.edu>.]

# Today

- Agents that Plan Ahead
- Search Problems
- Uninformed Search Methods
- Informed (heuristic) Search

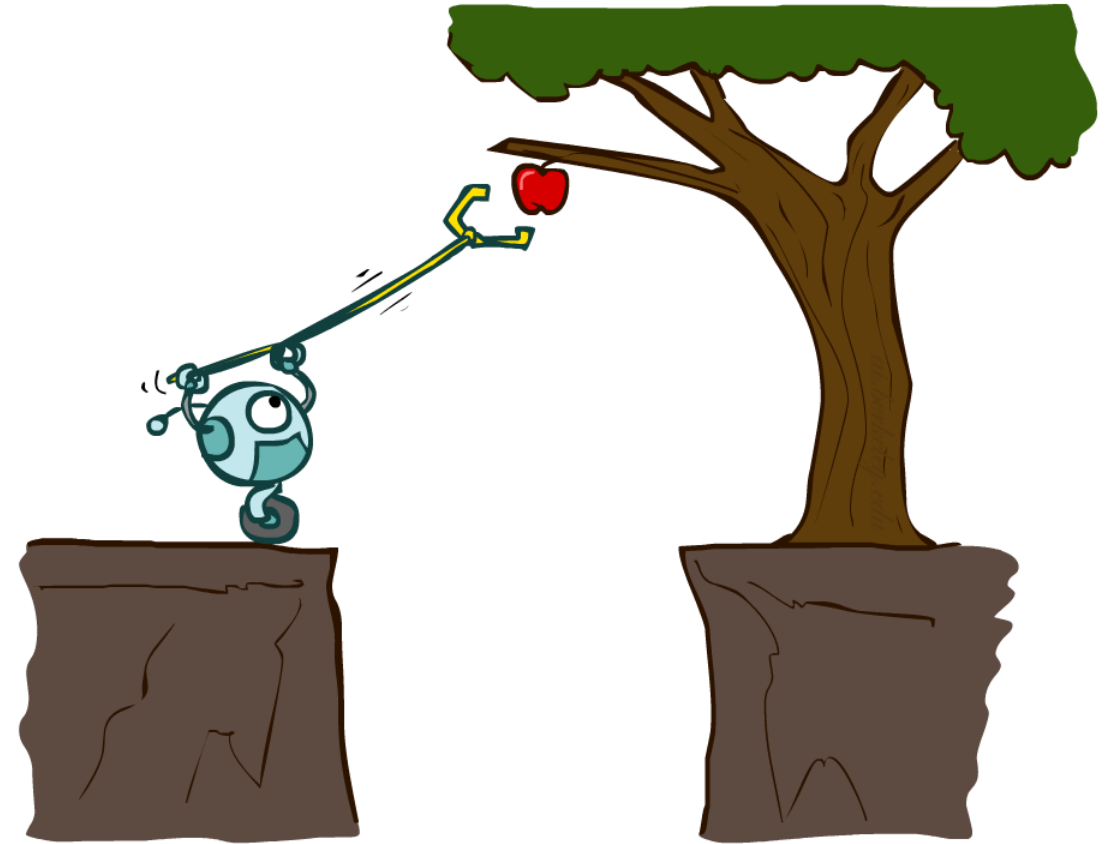


# Agents that Plan

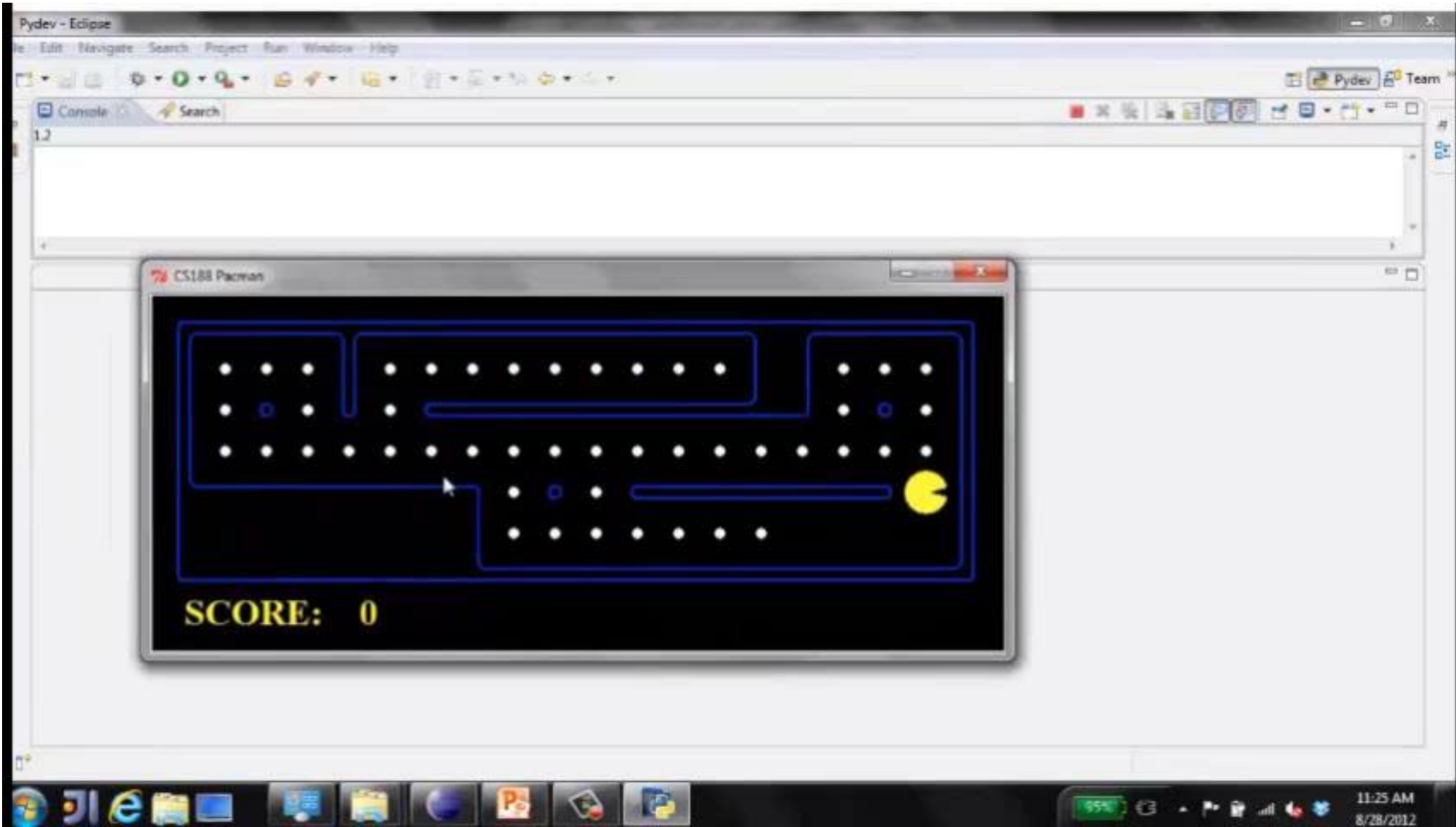


# Planning Agents

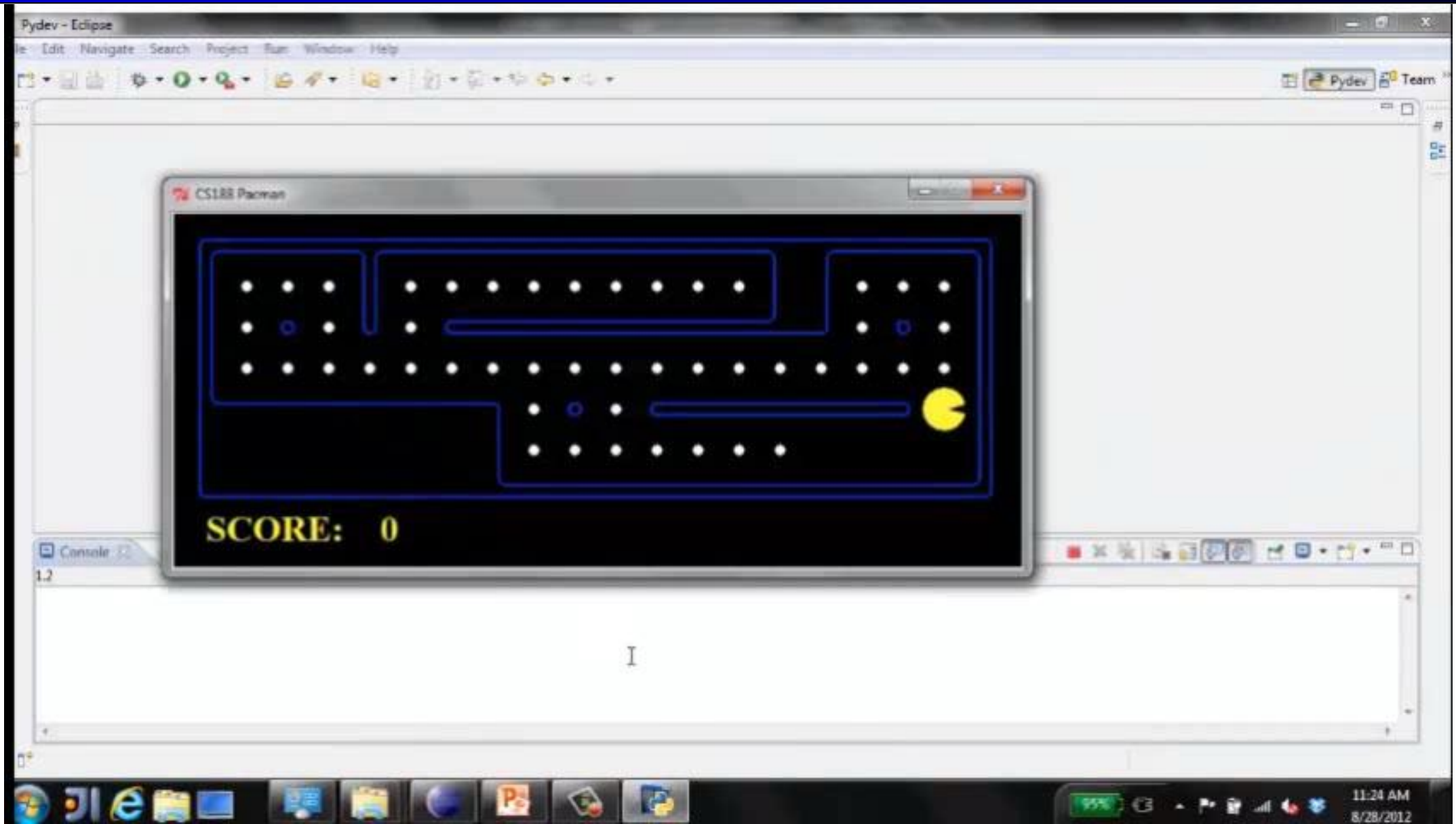
- Planning agents:
  - Ask “what if”
  - Decisions based on (hypothesized) consequences of actions
  - Must have a model of how the world evolves in response to actions
  - Must formulate a goal (test)
  - Consider how the world **WOULD BE**
- Optimal Planning
  - Returns a least cost solution.
- Complete Planning
  - If there exists a solution it will find it.
- Planning vs. replanning



# Video of Demo Mastermind



# Video of Demo Replanning



# Search Problems

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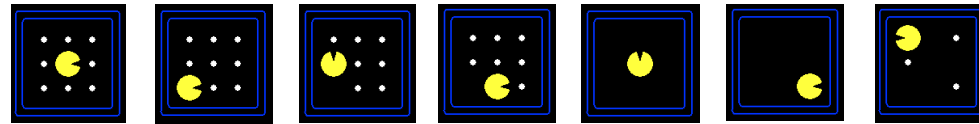




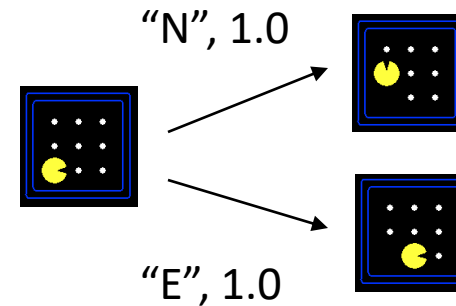
# Search Problems

- A **search problem** consists of:

- A state space



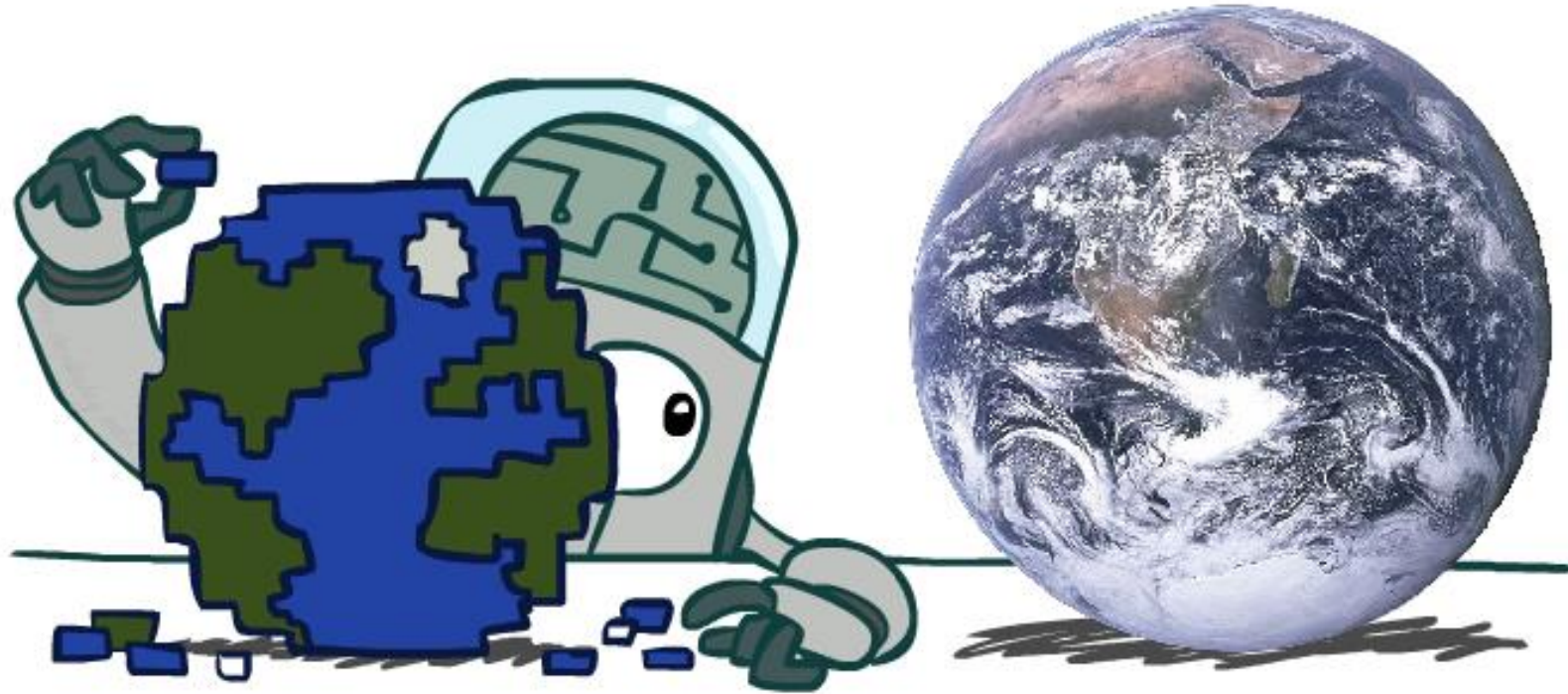
- A successor function  
(with actions, costs)



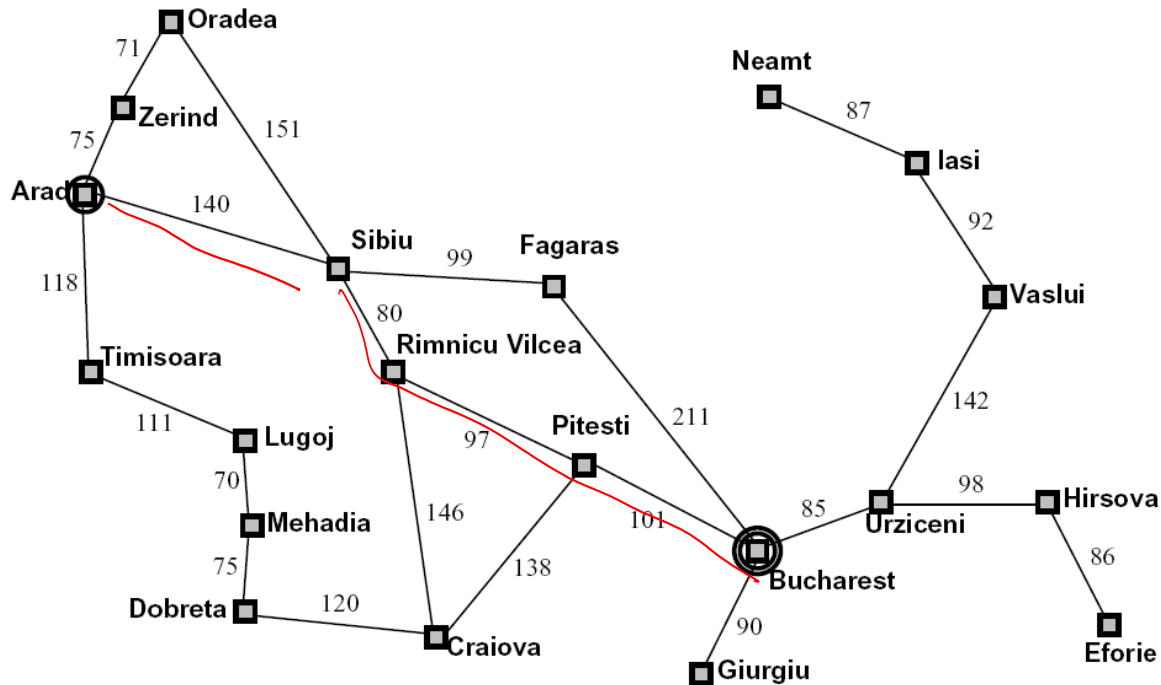
- A start state and a goal test
- A **solution** is a sequence of actions (a plan) which transforms the start state to a goal state

# Search Problems Are Models

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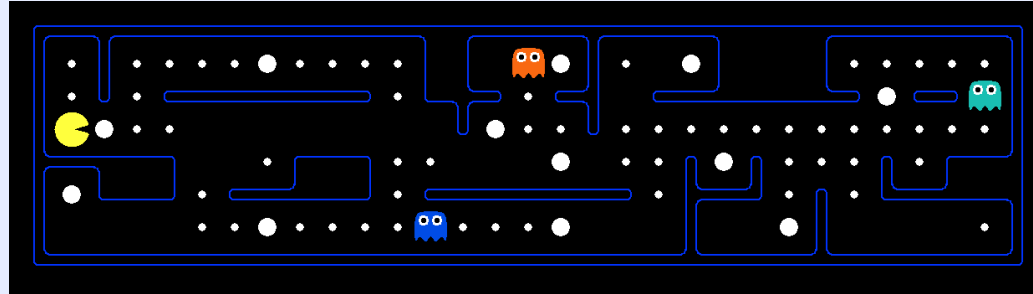
# Example: Traveling in Romania



- State space:
  - Cities
- Successor function:
  - Roads: Go to adjacent city with cost = distance
- Start state:
  - Arad
- Goal test:
  - Is state == Bucharest?
- Solution?

# What's in a State Space?

The **world state** includes every last detail of the environment



A **search state** keeps only the details needed for planning (abstraction)

- **Problem: Pathing (go from location A to B)**

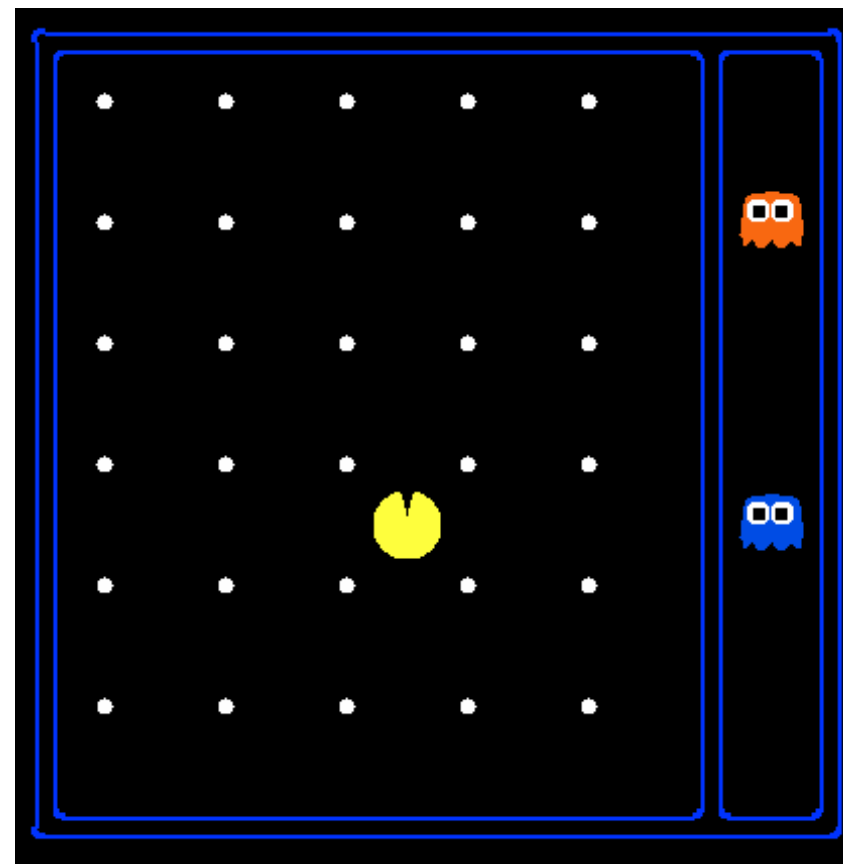
- States:  $(x,y)$  location
- Actions: NSEW
- Successor: update location only
- Goal test: is  $(x,y)=END$

- **Problem: Eat-All-Dots**

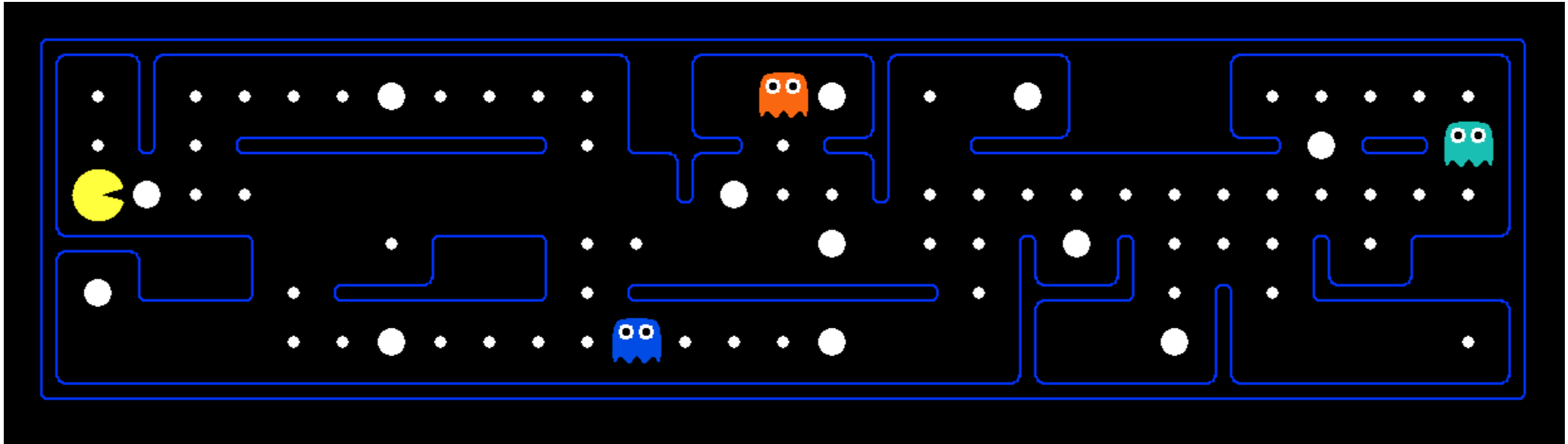
- States:  $\{(x,y), \text{dot booleans}\}$
- Actions: NSEW
- Successor: update location and possibly a dot boolean
- Goal test: dots all false

# State Space Sizes?

- World state:
  - Agent positions: 120
  - Food count: 30
  - Ghost positions: 12
  - Agent facing: NSEW
- How many
  - World states?  
 $120 \times (2^{30}) \times (12^2) \times 4$  (~74 trillion)
  - States for pathing?  
120
  - States for eat-all-dots?  
 $120 \times (2^{30})$



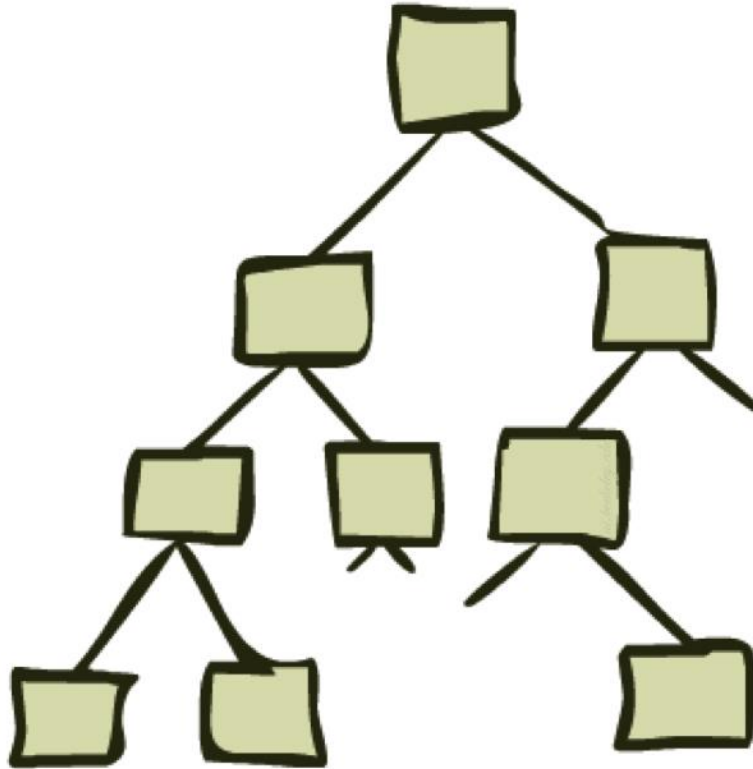
# Quiz: Safe Passage



- Problem: eat all dots while keeping the ghosts perma-scared
- What does the state space have to specify?
  - (agent position, dot booleans, power pellet booleans, remaining scared time)

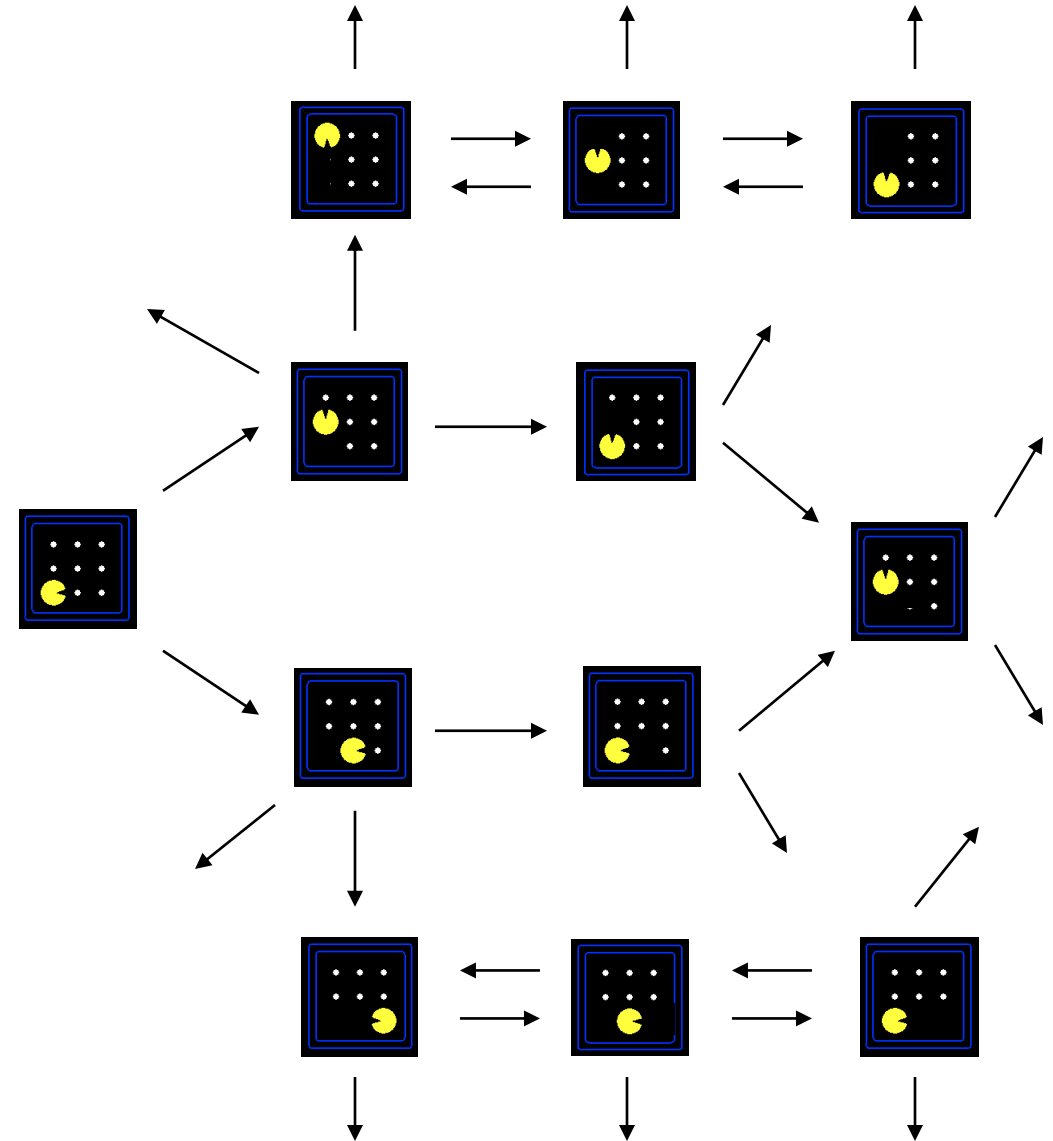
# State Space Graphs and Search Trees

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# State Space Graphs

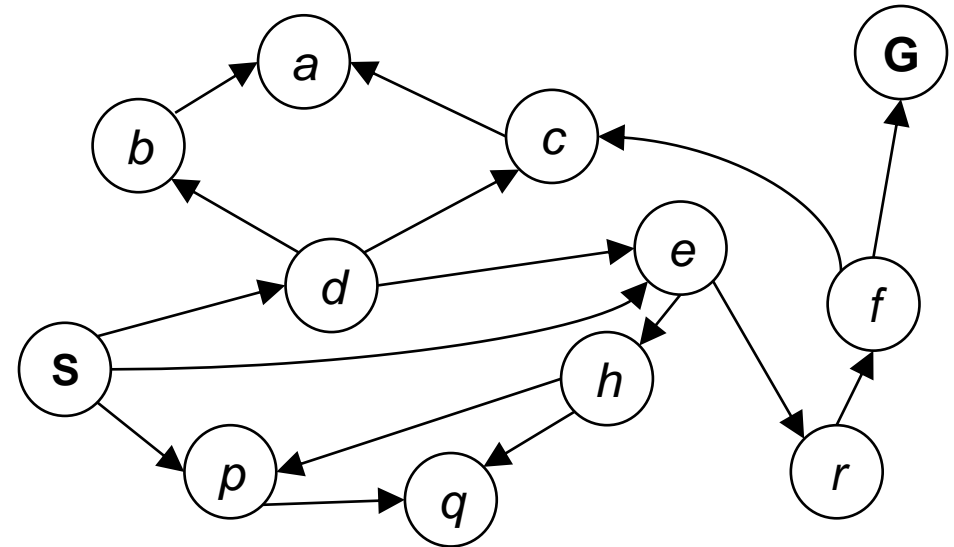
- State space graph: A mathematical representation of a search problem
  - Nodes are (abstracted) world configurations
  - Arcs represent successors (action results)
  - The goal test is a set of goal nodes (maybe only one)
- In a state space graph, each state occurs only once!
- We can rarely build this full graph in memory (it's too big), but it's a useful idea





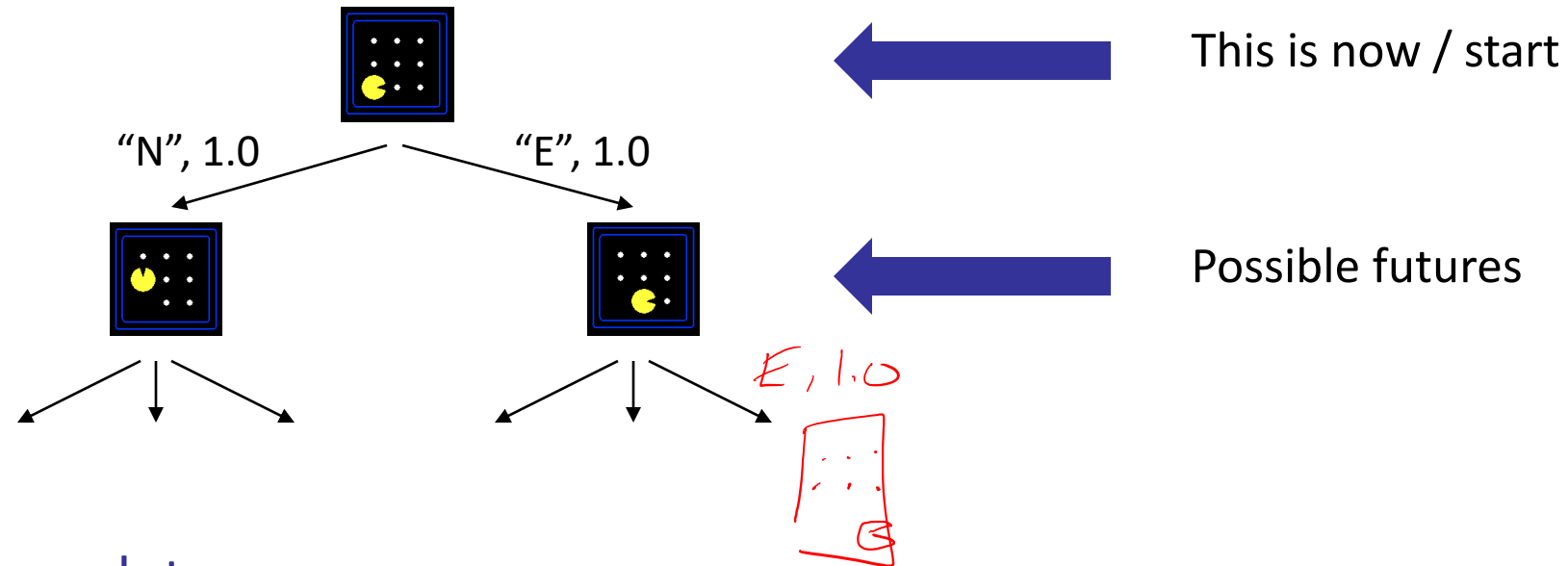
# State Space Graphs

- State space graph: A mathematical representation of a search problem
  - Nodes are (abstracted) world configurations
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  - The goal test is a set of goal nodes (maybe only one)
- In a search graph, each state occurs only once!
- We can rarely build this full graph in memory (it's too big), but it's a useful idea



*Tiny state space graph for a tiny search problem*

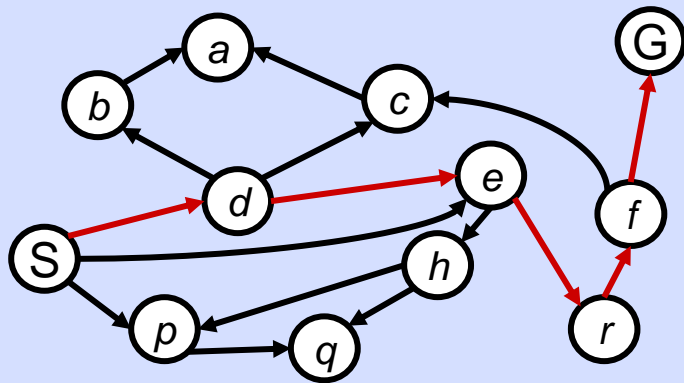
# Search Trees



- A search tree:
  - A “what if” tree of plans and their outcomes
  - The start state is the root node
  - Children correspond to successors
  - Nodes show states, but correspond to PLANS that achieve those states
  - For most problems, we can never actually build the whole tree

# State Space Graphs vs. Search Trees

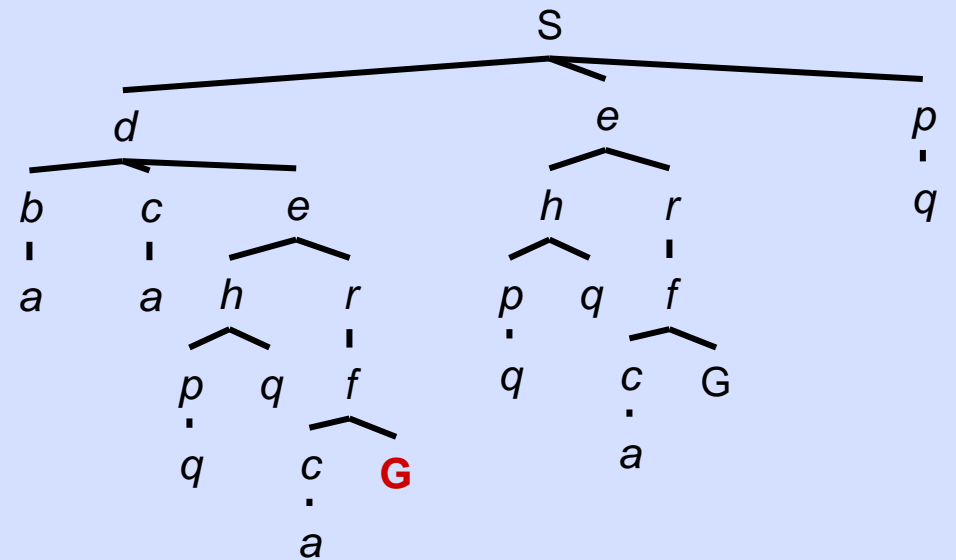
## State Space Graph



*Each NODE in in the search tree is an entire PATH in the state space graph.*

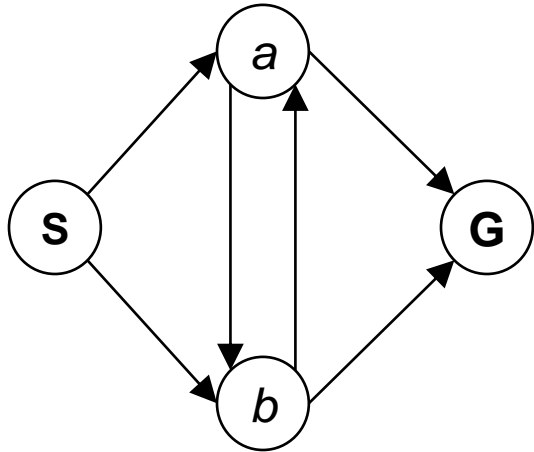
*We construct both on demand – and we construct as little as possible.*

## Search Tree

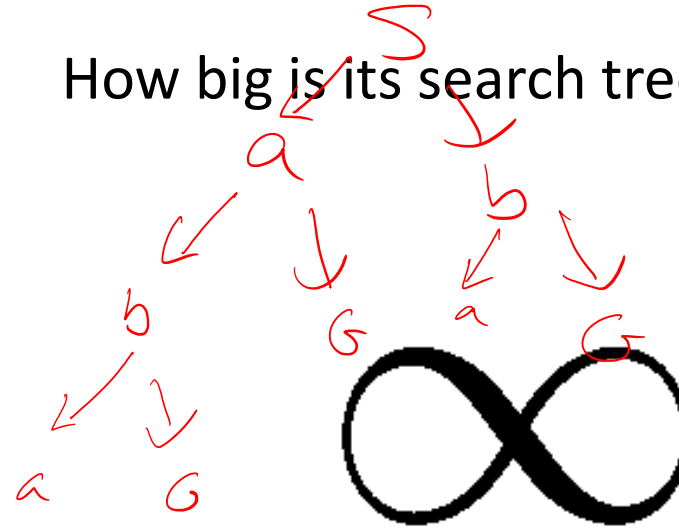


# Quiz: State Space Graphs vs. Search Trees

Consider this 4-state graph:



How big is its search tree (from S)?

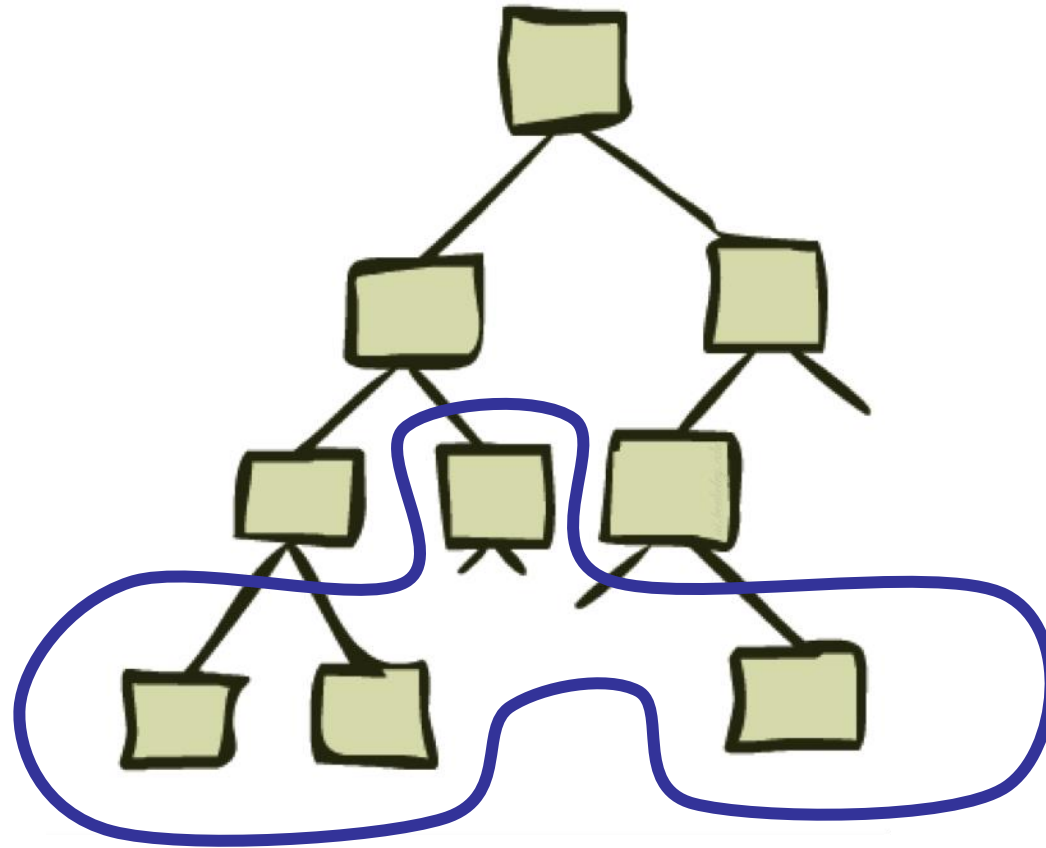


What does the search tree look like?

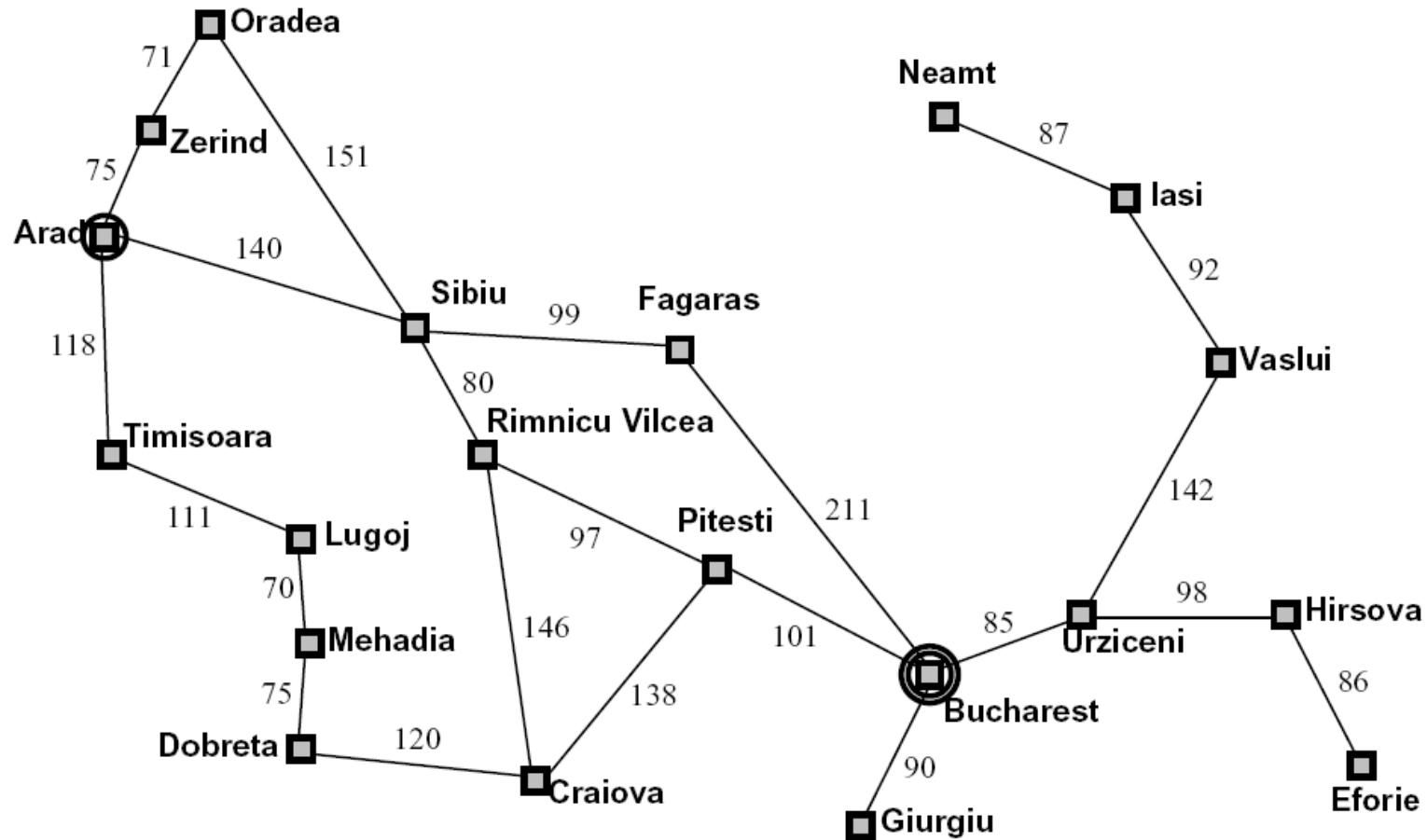
Important: Lots of repeated structure in the search tree!

# Tree Search

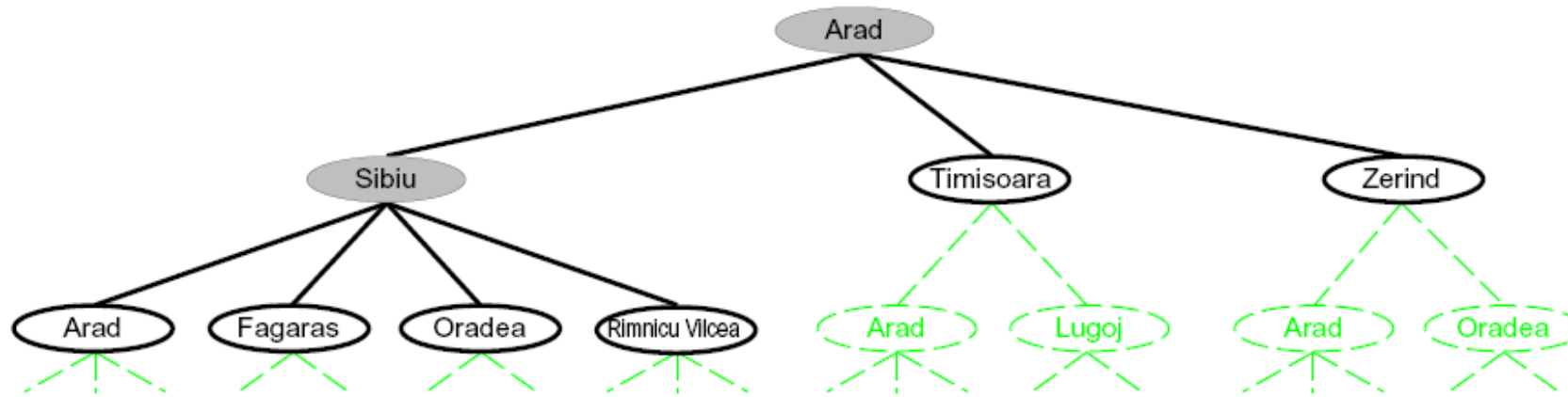
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# Search Example: Romania



# Searching with a Search Tree



- Search:
  - Expand out potential plans (tree nodes)
  - Maintain a **fringe** of partial plans under consideration
  - Try to expand as few tree nodes as possible

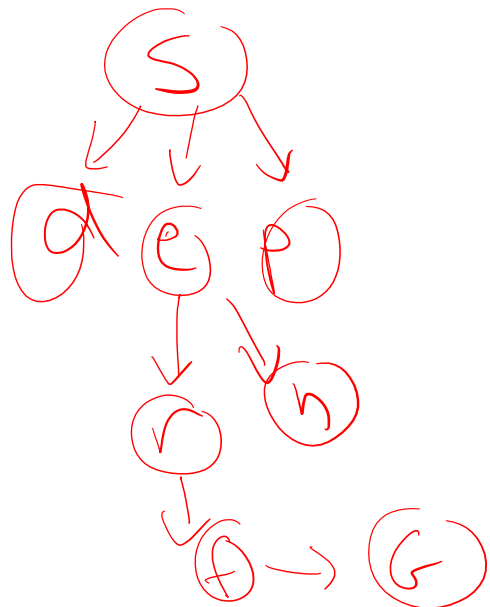
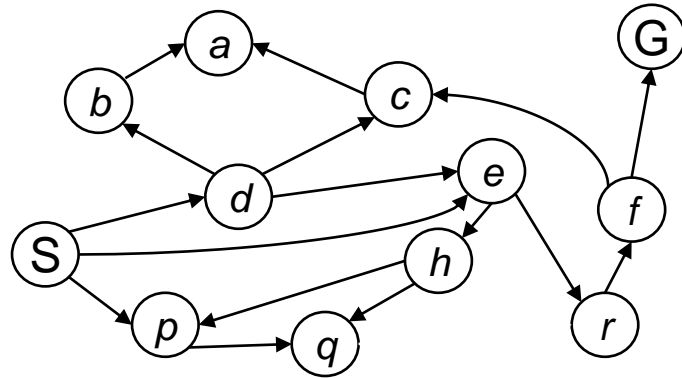
# General Tree Search

```
function TREE-SEARCH(problem, strategy) returns a solution, or failure
  initialize the search tree using the initial state of problem
  loop do
    if there are no candidates for expansion then return failure
    choose a leaf node for expansion according to strategy
    if the node contains a goal state then return the corresponding solution
    else expand the node and add the resulting nodes to the search tree
  end
```

- Important ideas:
  - Fringe
  - Expansion
  - Exploration strategy
- Main question: which fringe nodes to explore?



# Example: Tree Search



# Depth-First Search

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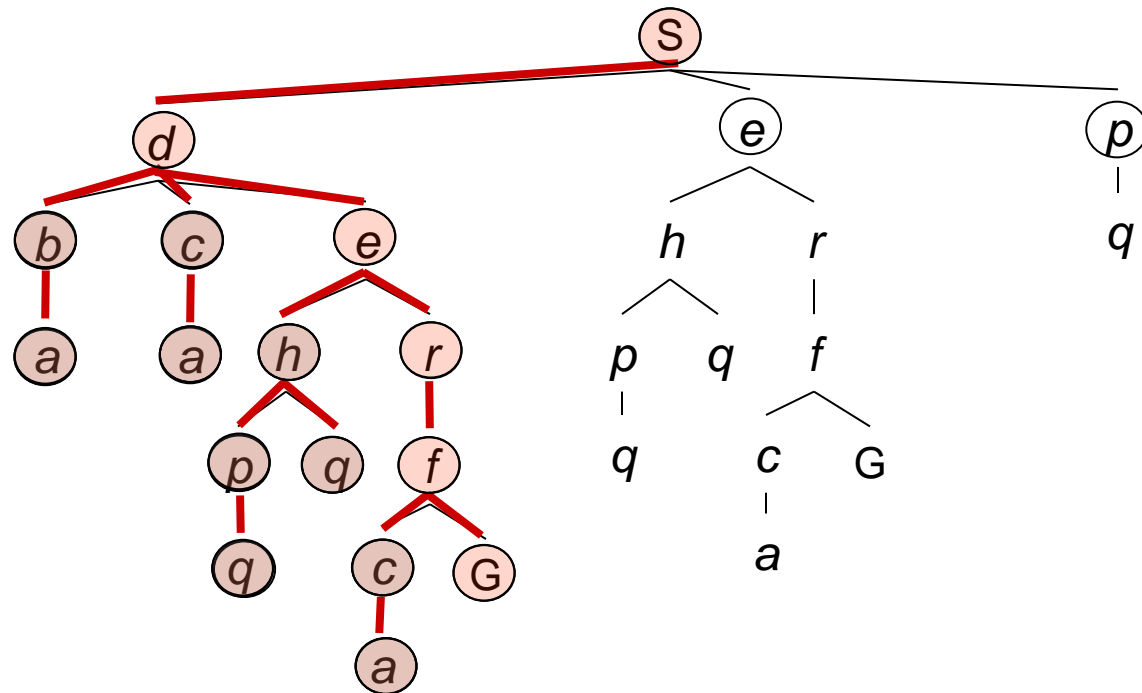
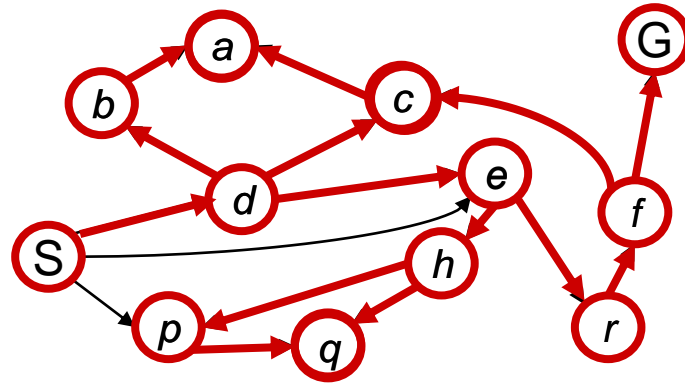


# Depth-First Search

Strategy: expand a deepest node first

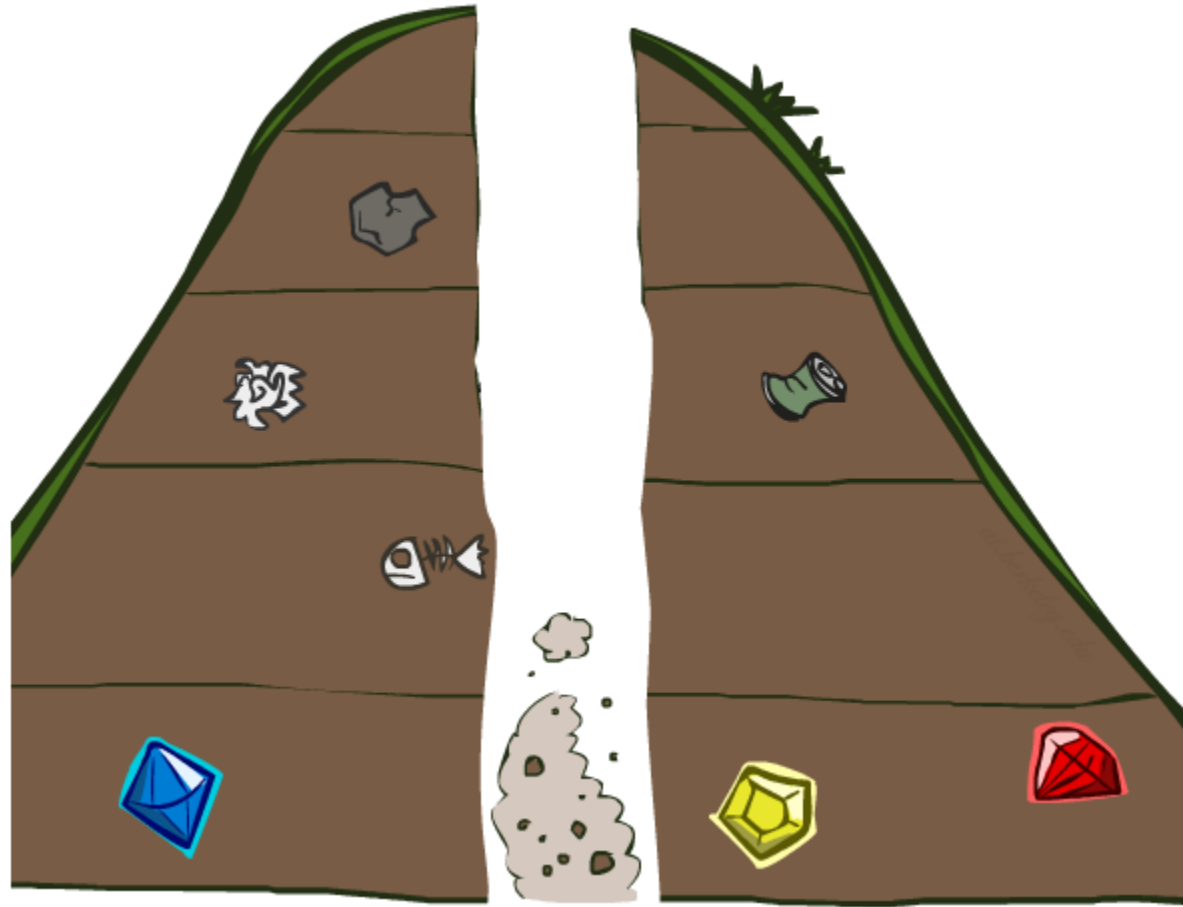
Implementation:

Fringe is a LIFO stack



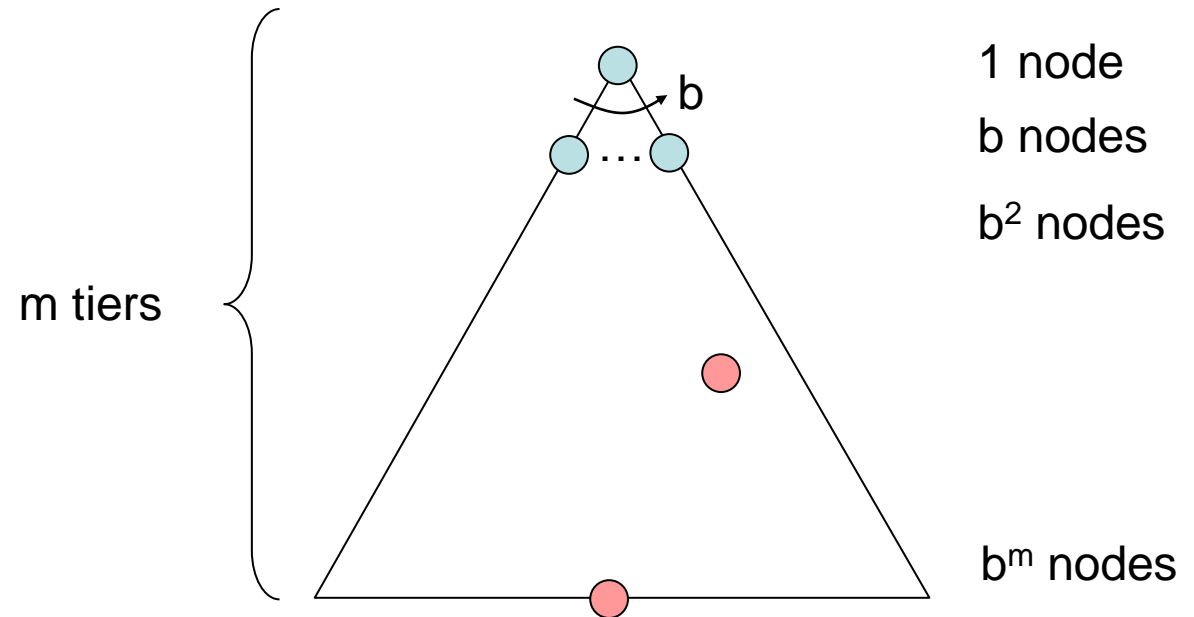
# Search Algorithm Properties

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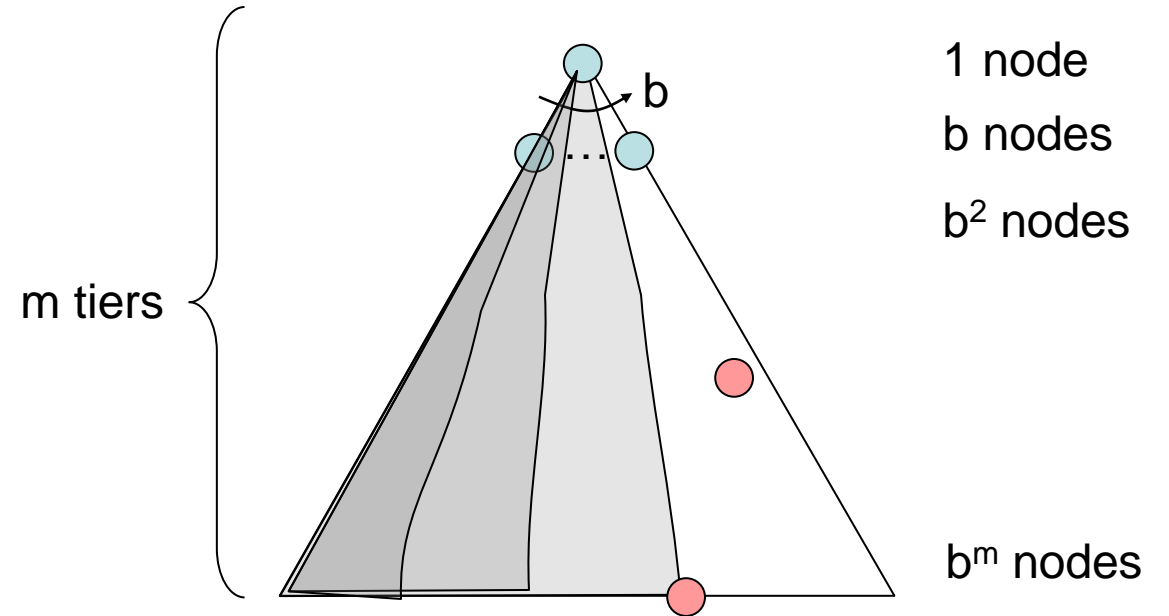
# Search Algorithm Properties

- Complete: Guaranteed to find a solution if one exists?
- Optimal: Guaranteed to find the least cost path?
- Time complexity?
- Space complexity?
- Cartoon of search tree:
  - $b$  is the branching factor
  - $m$  is the maximum depth
  - solutions at various depths
- Number of nodes in entire tree?
  - $1 + b + b^2 + \dots + b^m = O(b^m)$



# Depth-First Search (DFS) Properties

- What nodes DFS expand?
  - Some left prefix of the tree.
  - Could process the whole tree!
  - If  $m$  is finite, takes time  $O(b^m)$
- How much space does the fringe take?
  - Only has siblings on path to root, so  $O(bm)$
- Is it complete?
  - $m$  could be infinite, so only if we prevent cycles (more later)
- Is it optimal?
  - No, it finds the “leftmost” solution, regardless of depth or cost



# Breadth-First Search

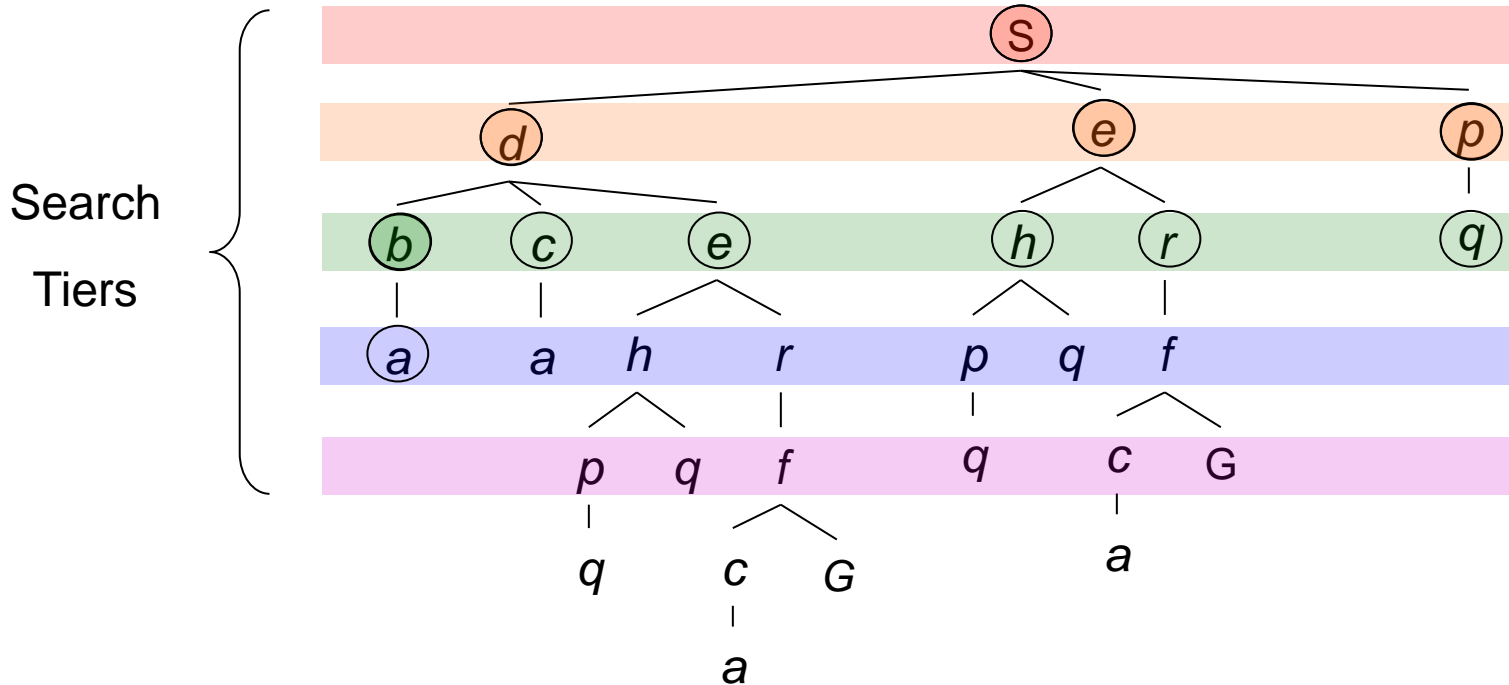
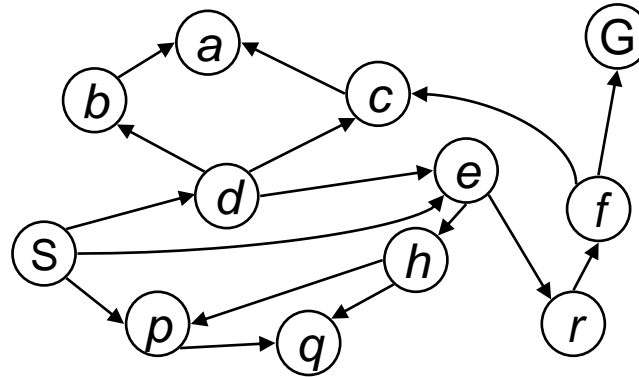
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# Breadth-First Search

Strategy: expand a shallowest node first

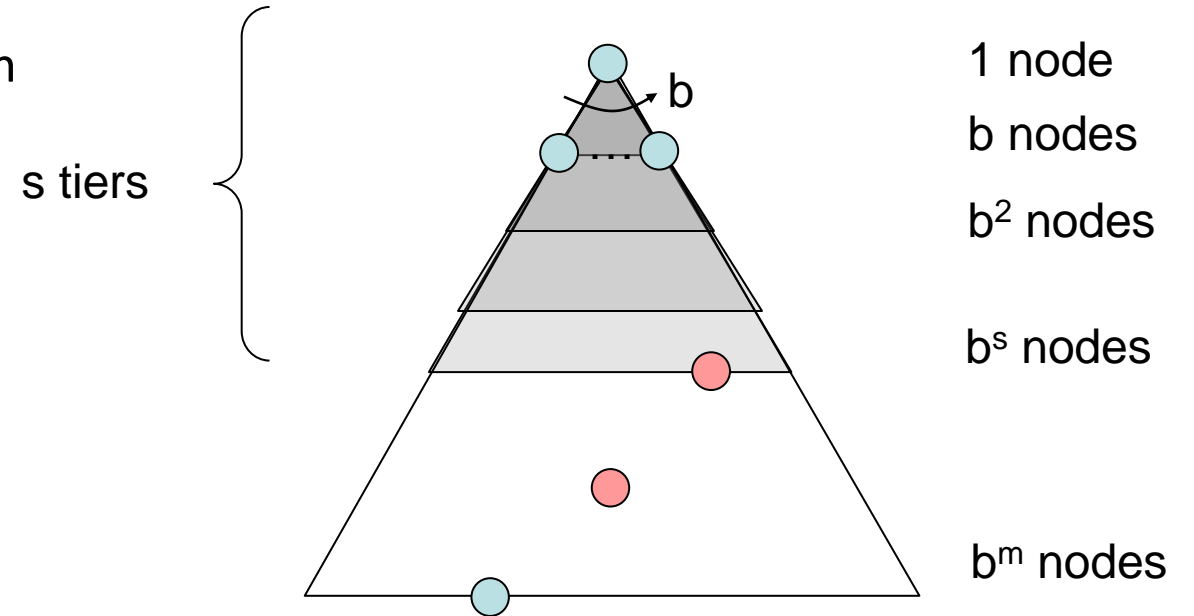
Implementation: Fringe is a FIFO queue



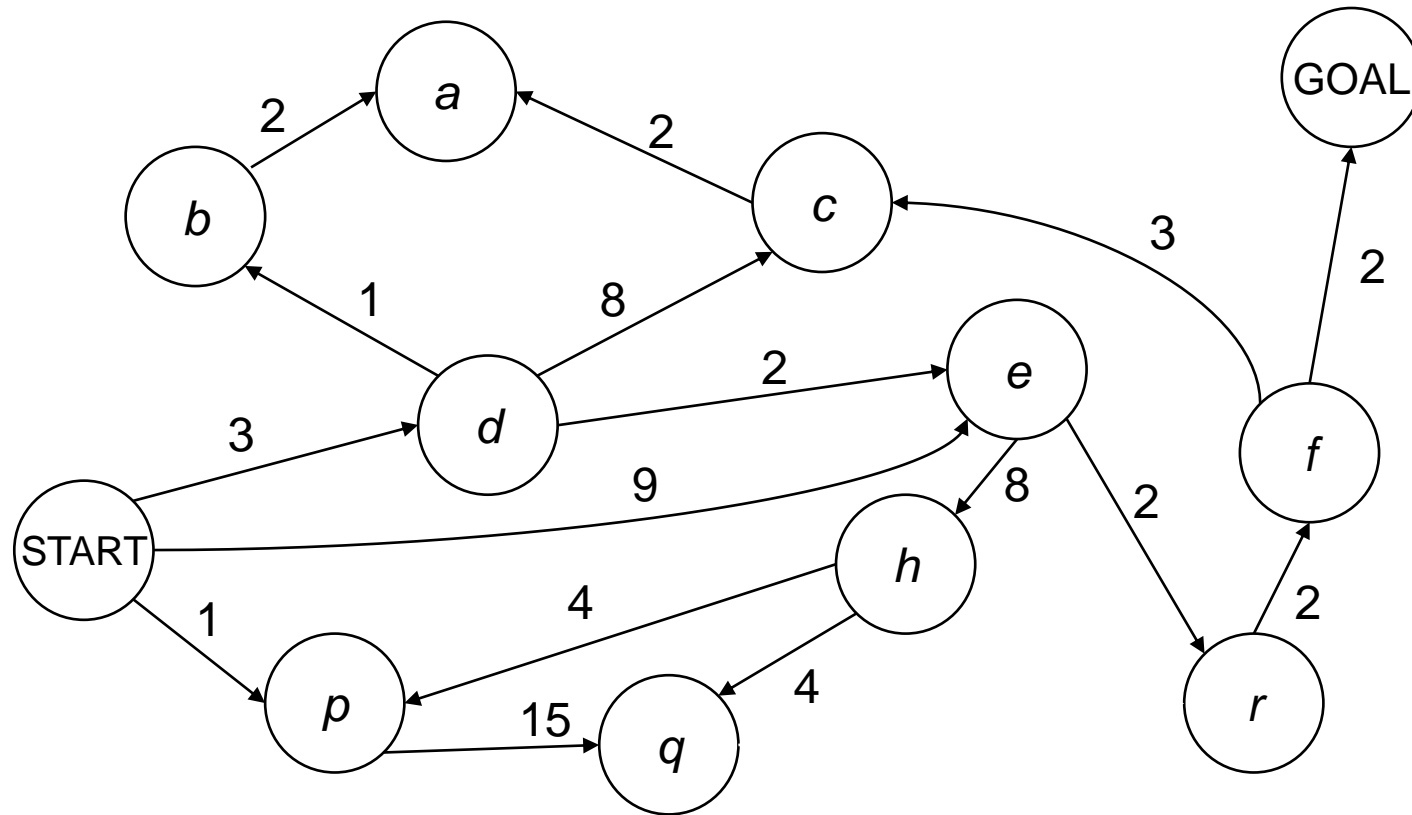


# Breadth-First Search (BFS) Properties

- What nodes does BFS expand?
  - Processes all nodes above shallowest solution
  - Let depth of shallowest solution be  $s$
  - Search takes time  $O(b^s)$
- How much space does the fringe take?
  - Has roughly the last tier, so  $O(b^s)$
- Is it complete?
  - $s$  must be finite if a solution exists, so yes!
- Is it optimal?
  - Only if costs are all 1 (more on costs later)



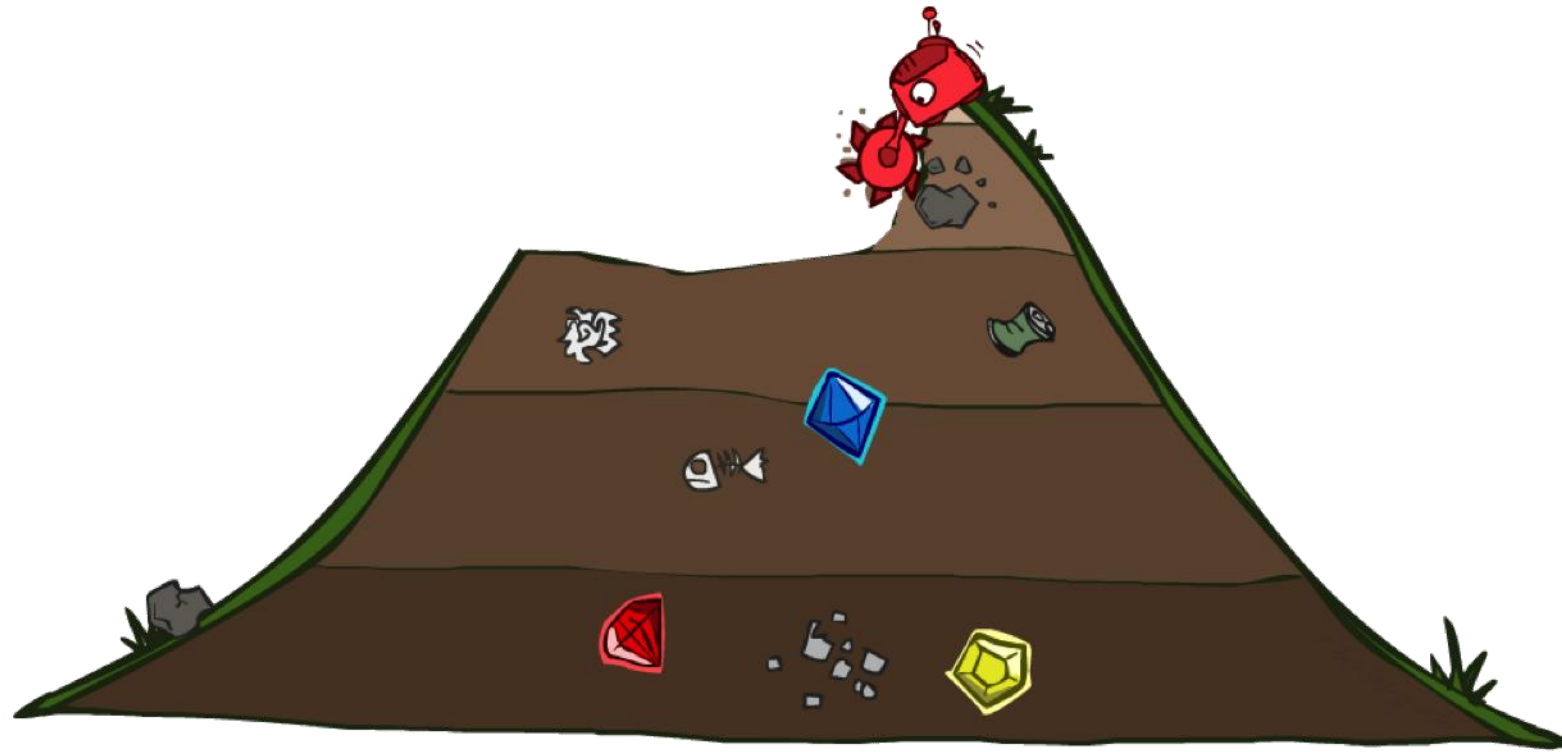
# Cost-Sensitive Search



BFS finds the shortest path in terms of number of actions. It does not find the least-cost path. We will now cover a similar algorithm which does find the least-cost path.

# Uniform Cost Search

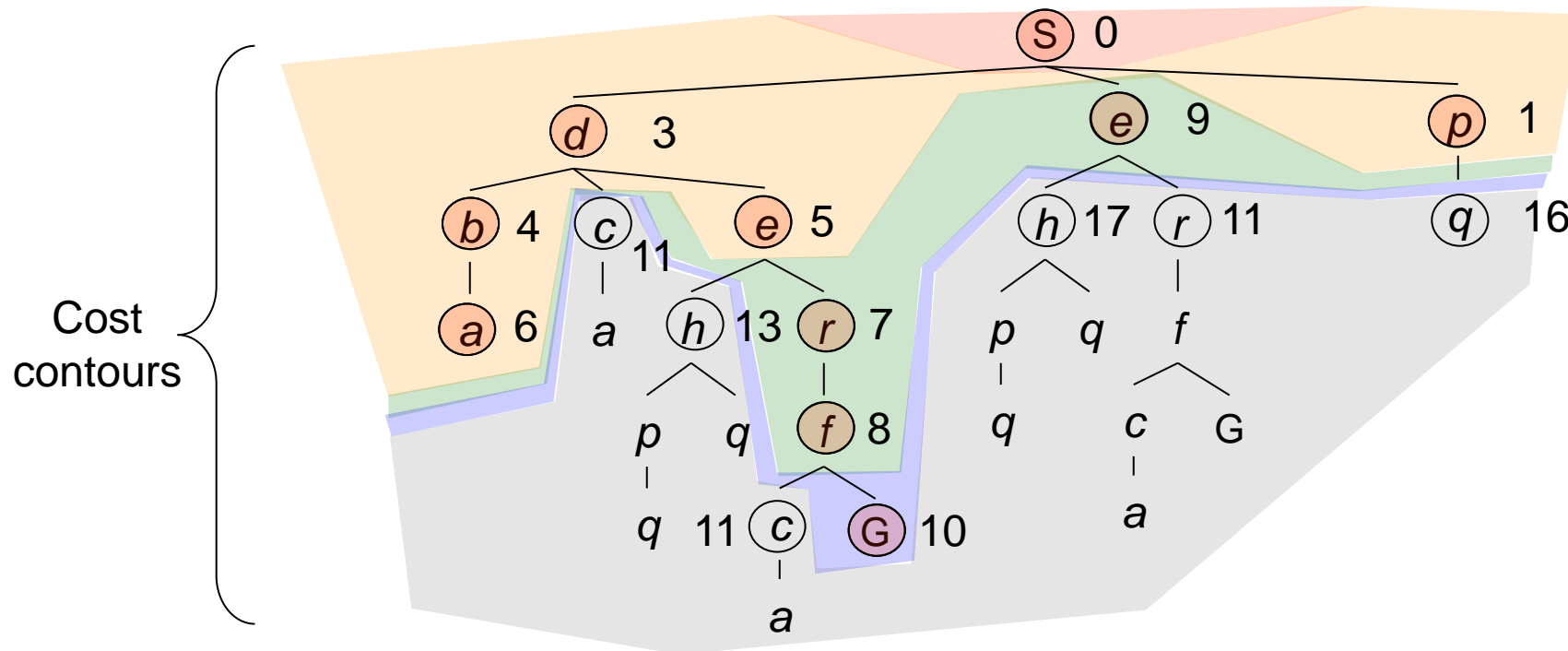
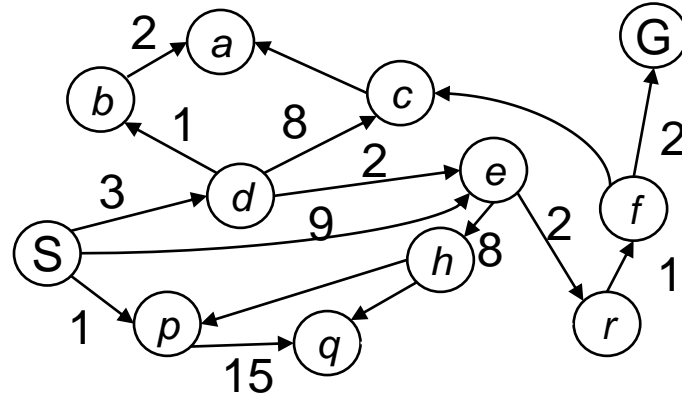
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# Uniform Cost Search

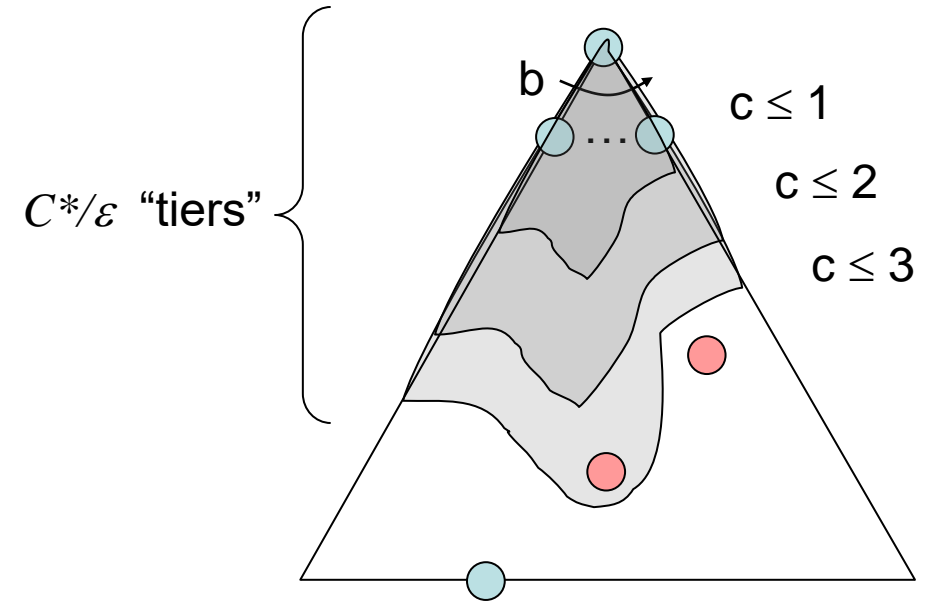
Strategy: expand a cheapest node first:

Fringe is a priority queue (priority: cumulative cost)



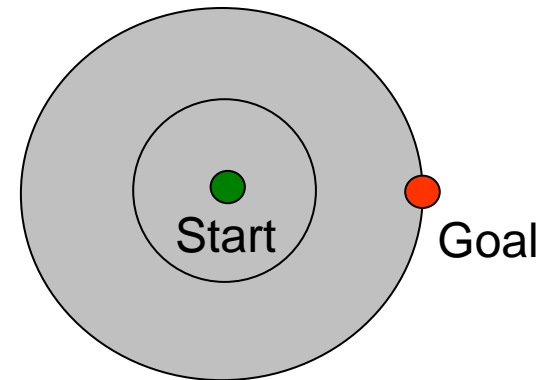
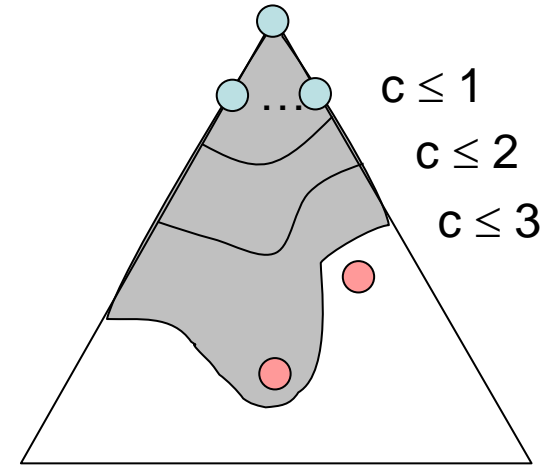
# Uniform Cost Search (UCS) Properties

- What nodes does UCS expand?
  - Processes all nodes with cost less than cheapest solution!
  - If that solution costs  $C^*$  and arcs cost at least  $\epsilon$ , then the “effective depth” is roughly  $C^*/\epsilon$
  - Takes time  $O(b^{C^*/\epsilon})$  (exponential in effective depth)
- How much space does the fringe take?
  - Has roughly the last tier, so  $O(b^{C^*/\epsilon})$
- Is it complete?
  - Assuming best solution has a finite cost and minimum arc cost is positive, yes!
- Is it optimal?
  - Yes! (Proof via A\*)



# Uniform Cost Issues

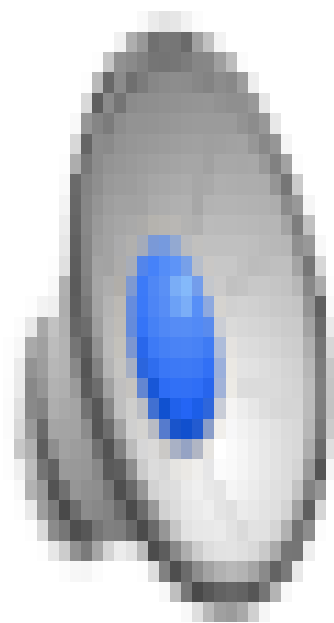
- The bad:
  - Explores options in every “direction”
  - No information about goal location



[Demo: empty grid UCS (L2D5)]  
[Demo: maze with deep/shallow  
water DFS/BFS/UCS (L2D7)]

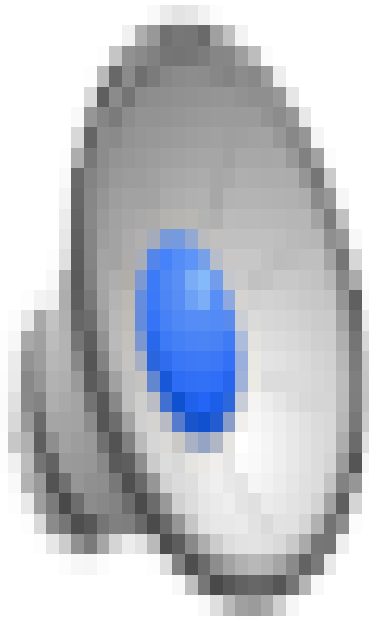
# Video of Demo Empty UCS

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# Video of Demo Maze with Deep/Shallow Water --- DFS, BFS, or UCS? (part 1)

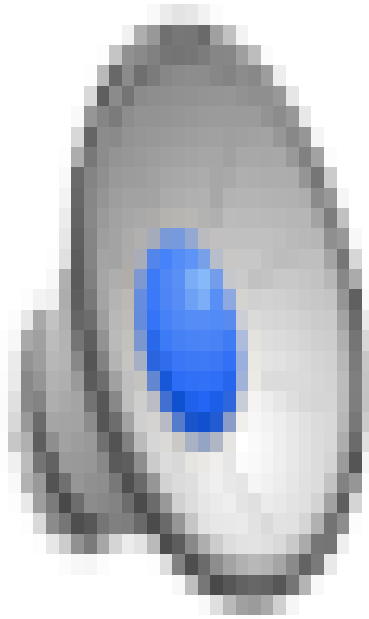
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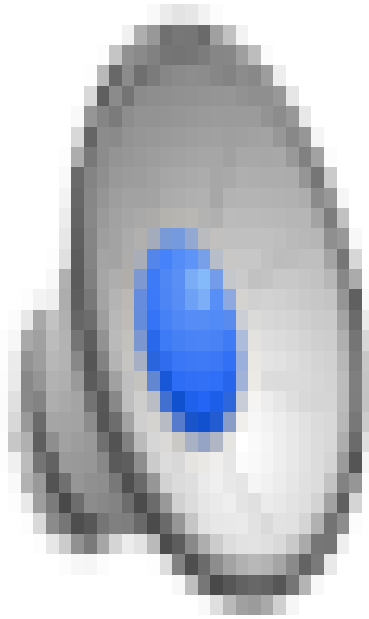
# Video of Demo Maze with Deep/Shallow Water --- DFS, BFS, or UCS? (part 2)

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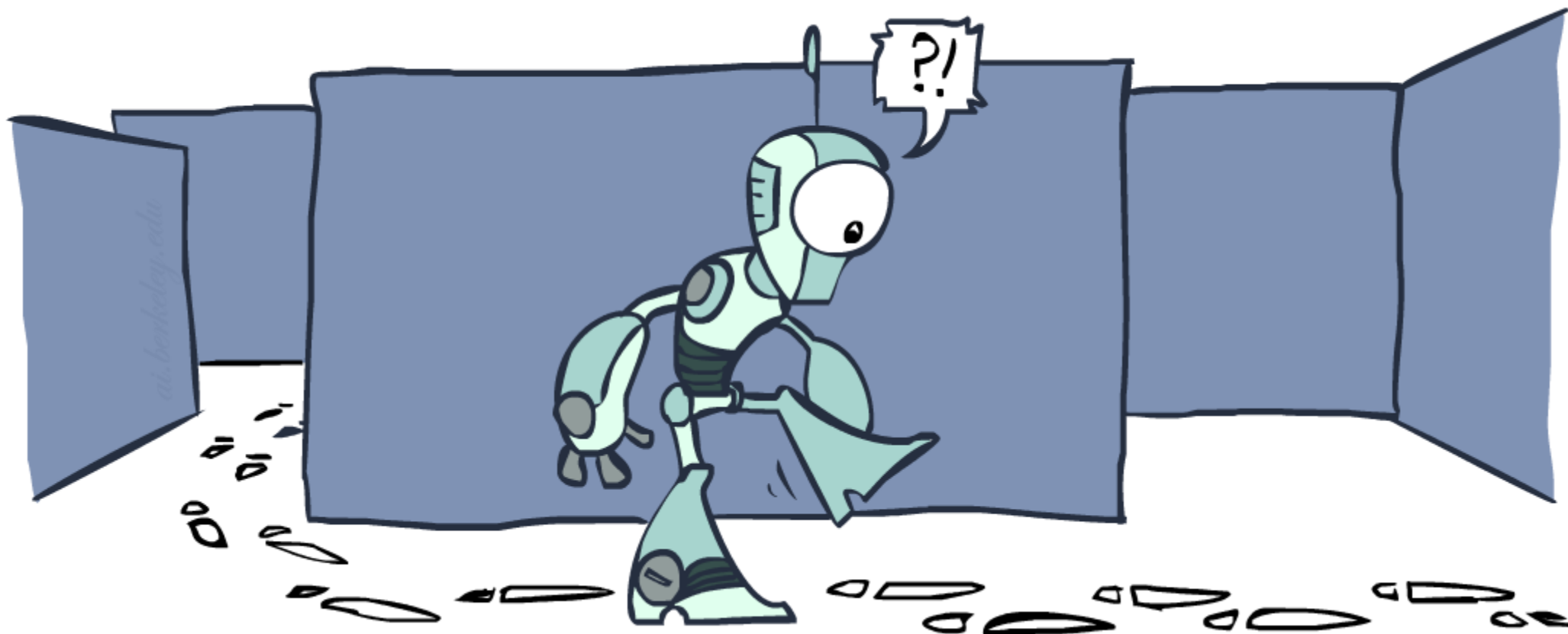


# Video of Demo Maze with Deep/Shallow Water --- DFS, BFS, or UCS? (part 3)

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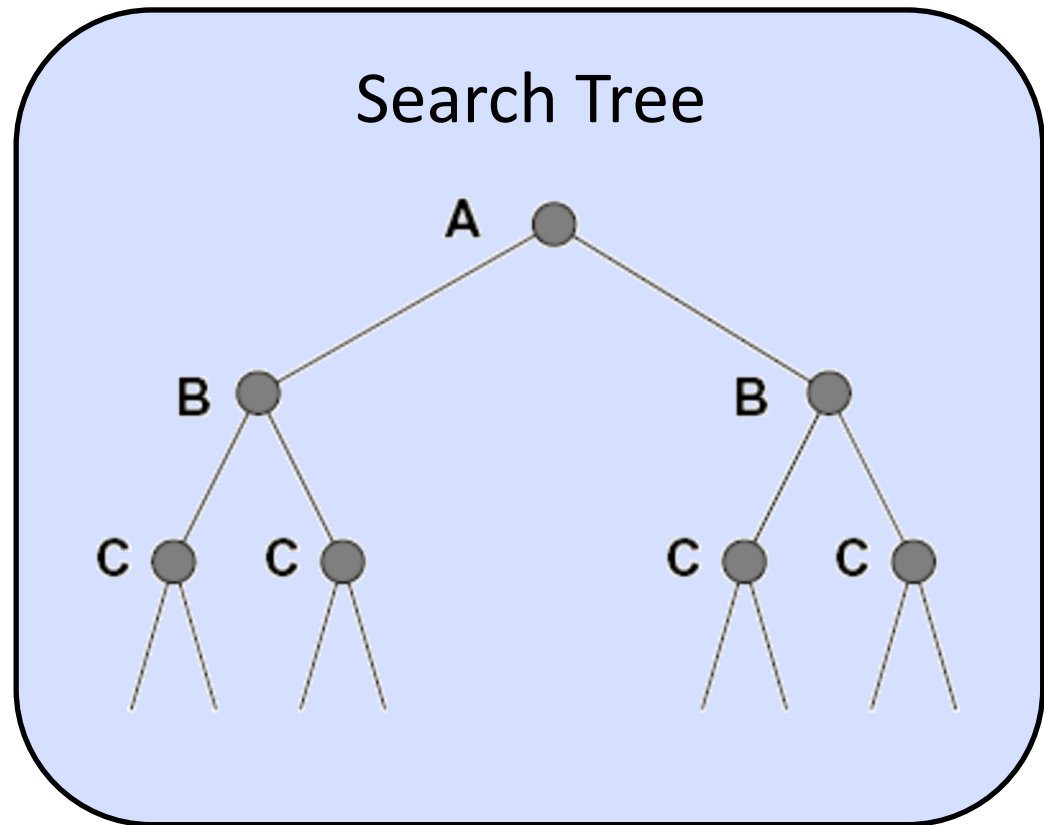
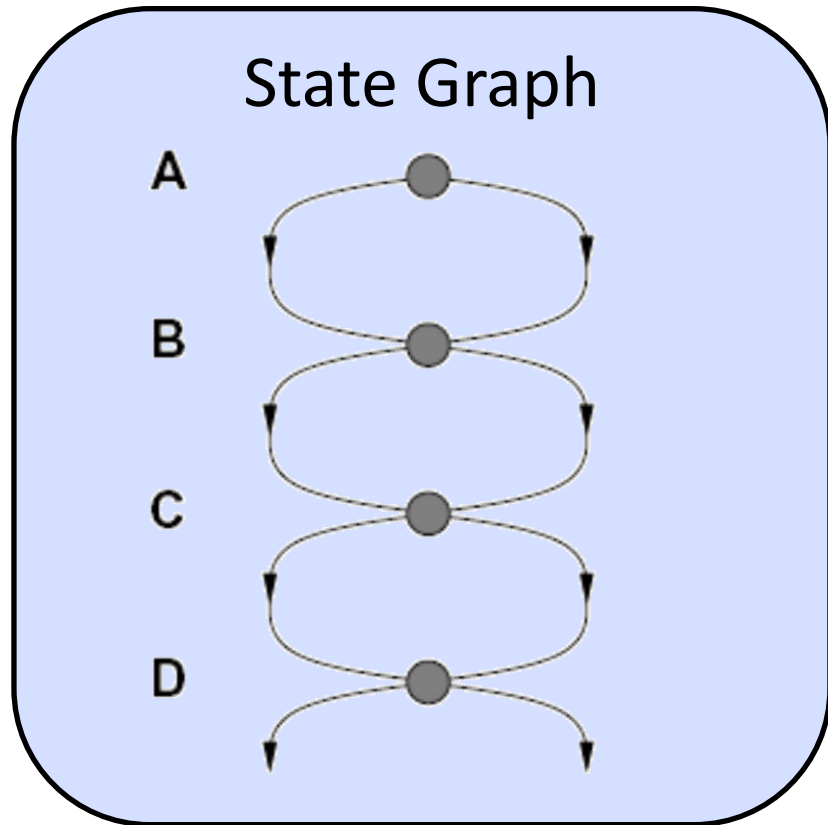


# Graph Search



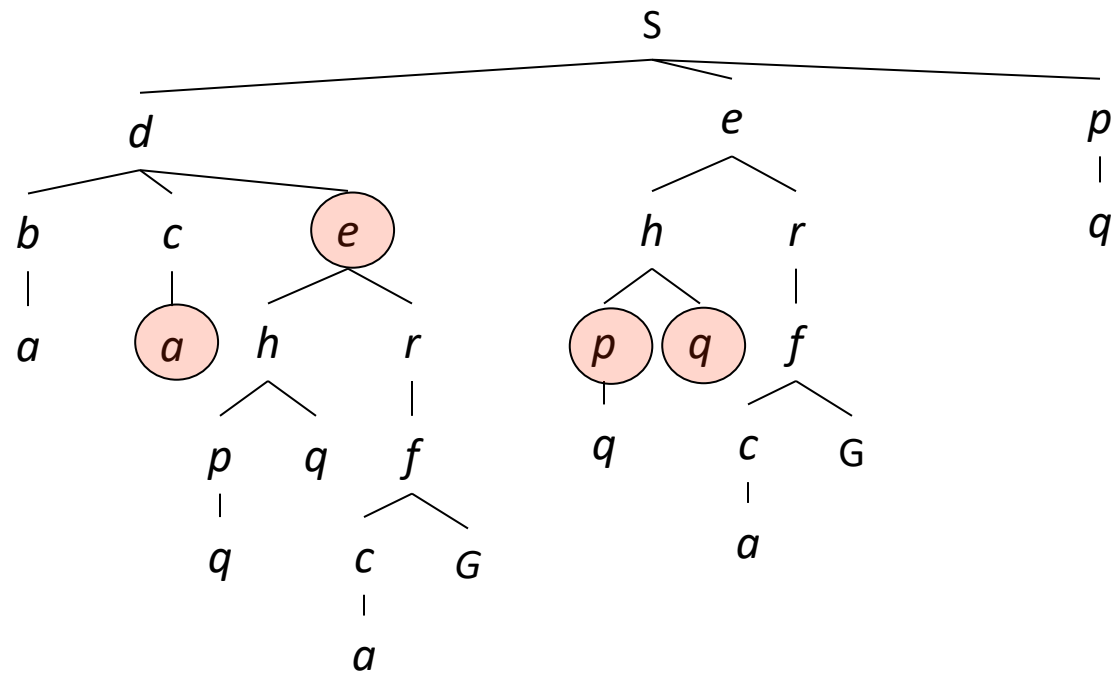
# Tree Search: Extra Work!

- Failure to detect repeated states can cause exponentially more work.



# Graph Search

- In BFS, for example, we shouldn't bother expanding the circled nodes (why?)



# Graph Search

---

- Idea: never **expand** a state twice
- How to implement:
  - Tree search + set of expanded states (“closed set”)
  - Expand the search tree node-by-node, but...
  - Before expanding a node, check to make sure its state has never been expanded before
  - If not new, skip it, if new add to closed set
- Important: **store the closed set as a set**, not a list
- Can graph search wreck completeness? Why/why not?
- How about optimality?

# Tree Search Pseudo-Code

```
function TREE-SEARCH(problem, fringe) return a solution, or failure
  fringe ← INSERT(MAKE-NODE(INITIAL-STATE[problem]), fringe)
  loop do
    if fringe is empty then return failure
    node ← REMOVE-FRONT(fringe)
    if GOAL-TEST(problem, STATE[node]) then return node
    for child-node in EXPAND(STATE[node], problem) do
      fringe ← INSERT(child-node, fringe)
    end
  end
```

# Graph Search Pseudo-Code

```
function GRAPH-SEARCH(problem, fringe) return a solution, or failure
  closed ← an empty set
  fringe ← INSERT(MAKE-NODE(INITIAL-STATE[problem]), fringe)
  loop do
    if fringe is empty then return failure
    node ← REMOVE-FRONT(fringe)
    if GOAL-TEST(problem, STATE[node]) then return node
    if STATE[node] is not in closed then
      add STATE[node] to closed
      for child-node in EXPAND(STATE[node], problem) do
        if STATE[child-node] is not in closed then fringe ← INSERT(child-node, fringe)
      end
    end
  end
end
```

Use this version for the homeworks, projects, and exams!



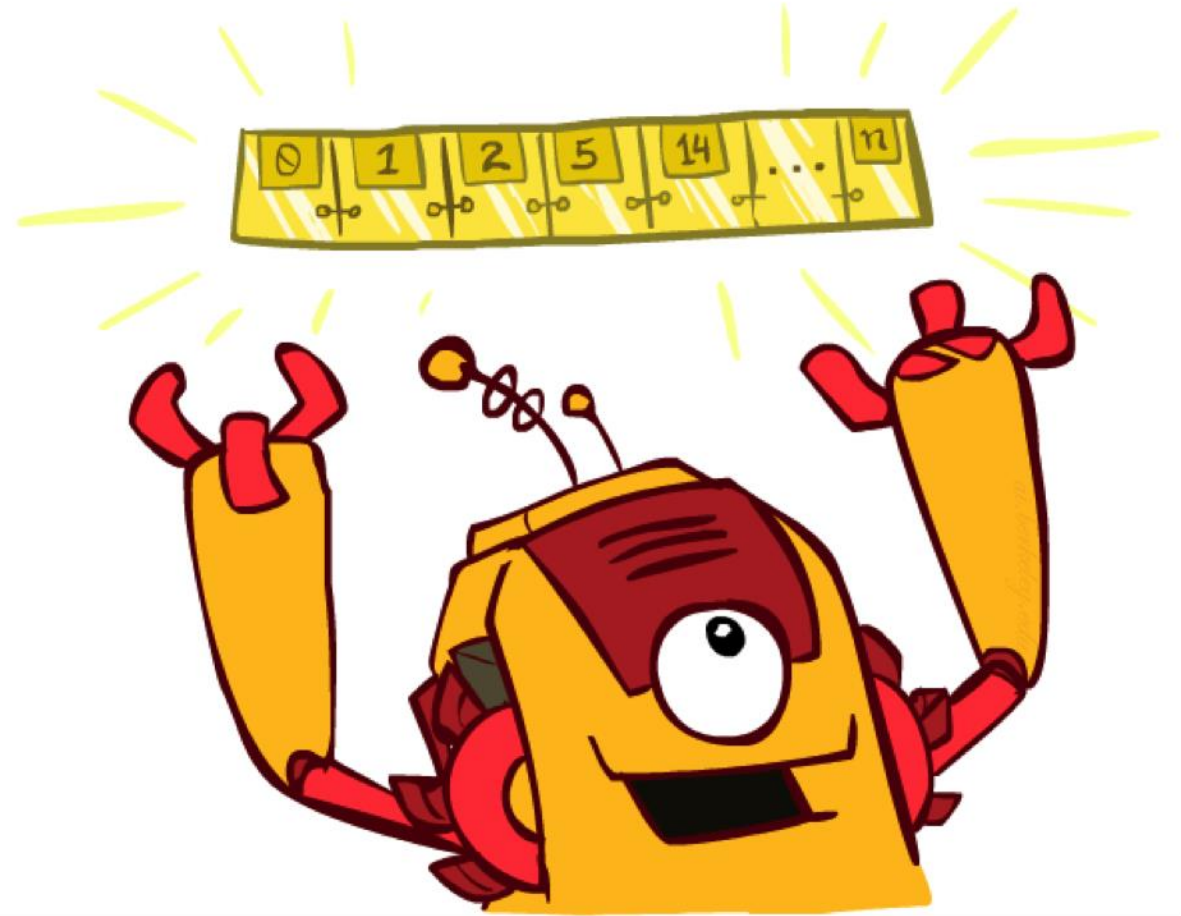
# Some Hints for P1

- Implement your closed list (explored set) as a set!
- Nodes are conceptually paths, but better to represent with a state, cost, last action, and reference to the parent node.
- Pseudo code from Russell and Norvig book. Good example of how a child node is created from a parent node.

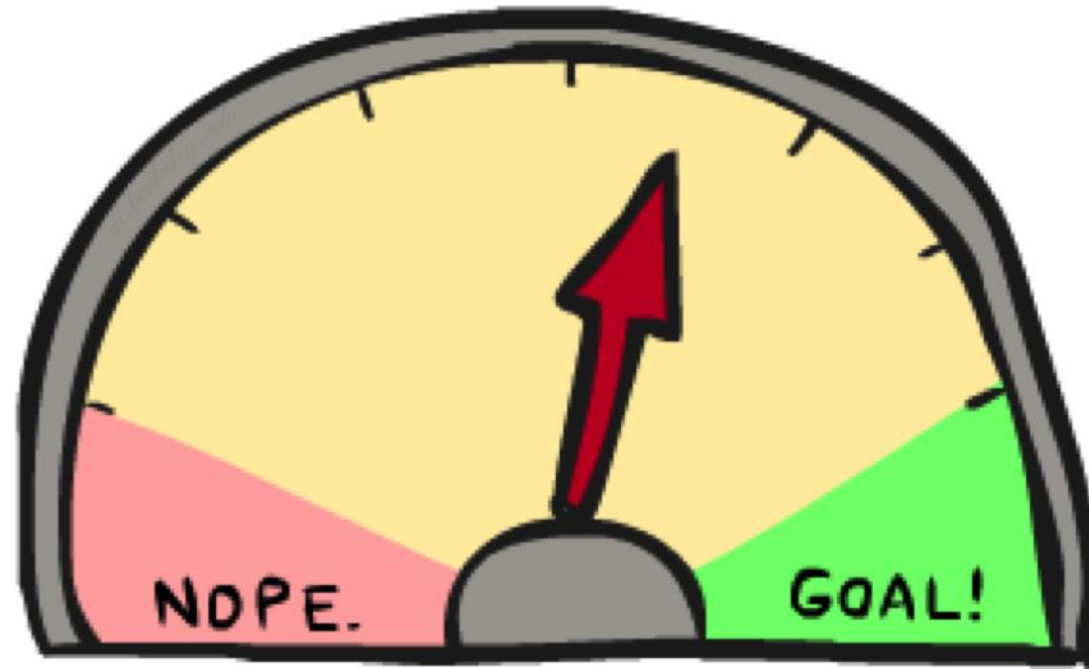
```
function CHILD-NODE(problem, parent, action) returns a node  
return a node with  
    STATE = problem.RESULT(parent.STATE, action),  
    PARENT = parent, ACTION = action,  
    PATH-COST = parent.PATH-COST + problem.STEP-COST(parent.STATE, action)
```

# The One Queue

- All these search algorithms are the same except for fringe strategies
  - Conceptually, all fringes are priority queues (i.e. collections of nodes with attached priorities)
  - Practically, for DFS and BFS, you can avoid the  $\log(n)$  overhead from an actual priority queue, by using stacks and queues
  - Can even code one implementation that takes a variable queuing object

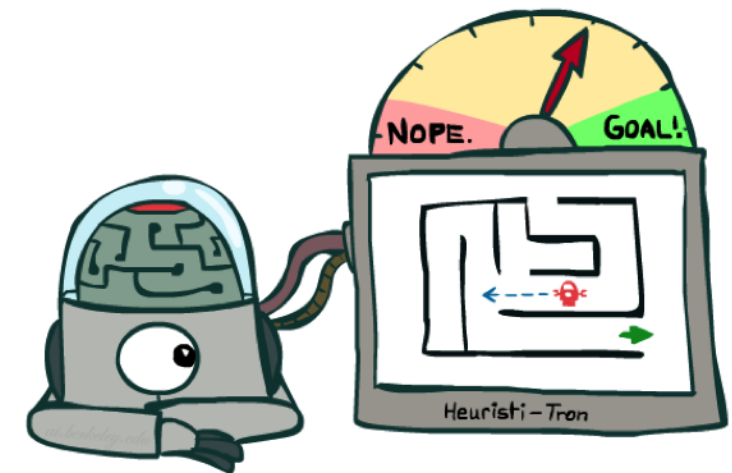
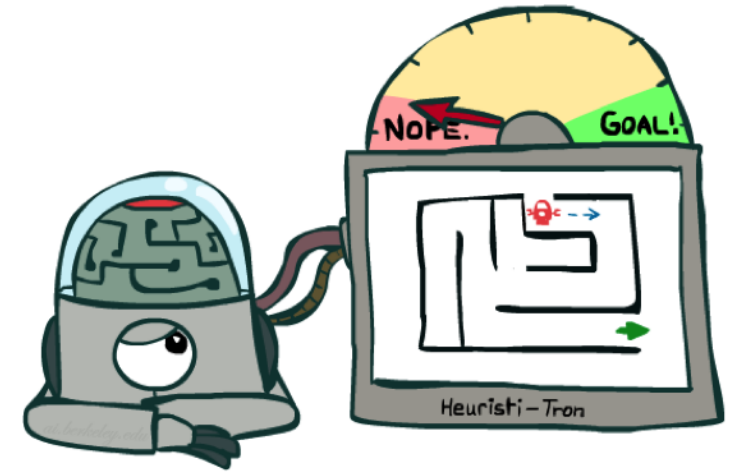
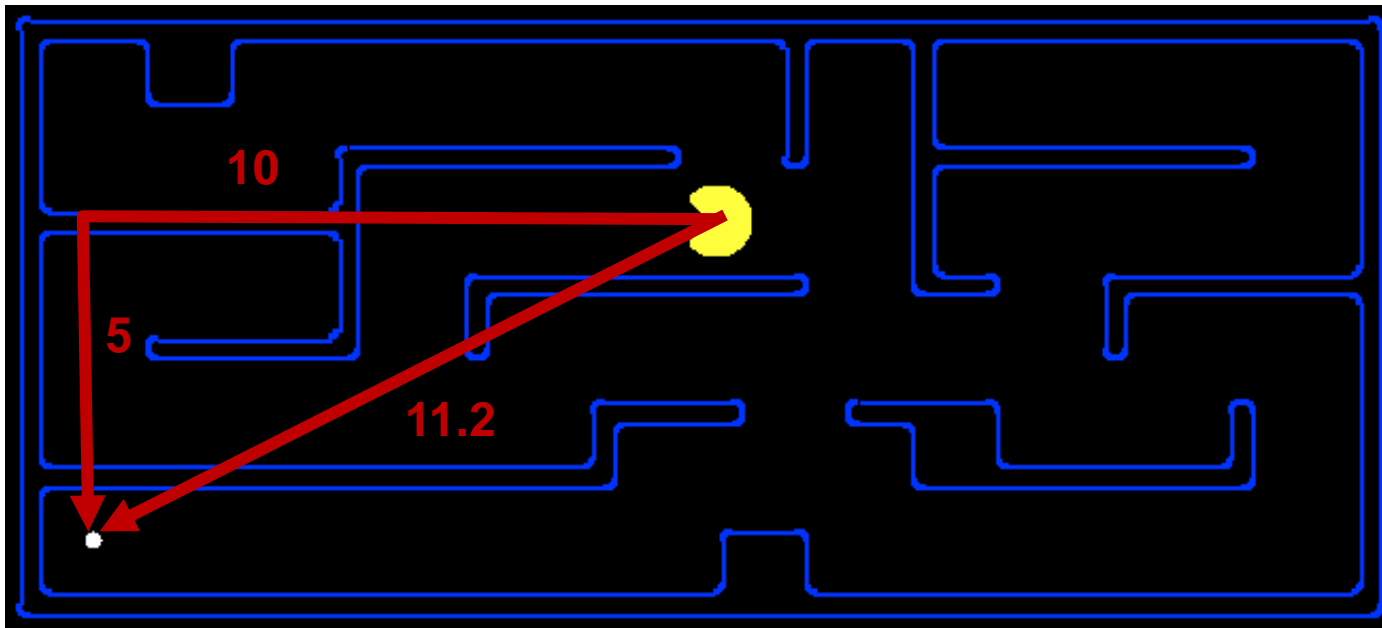


# Informed Search

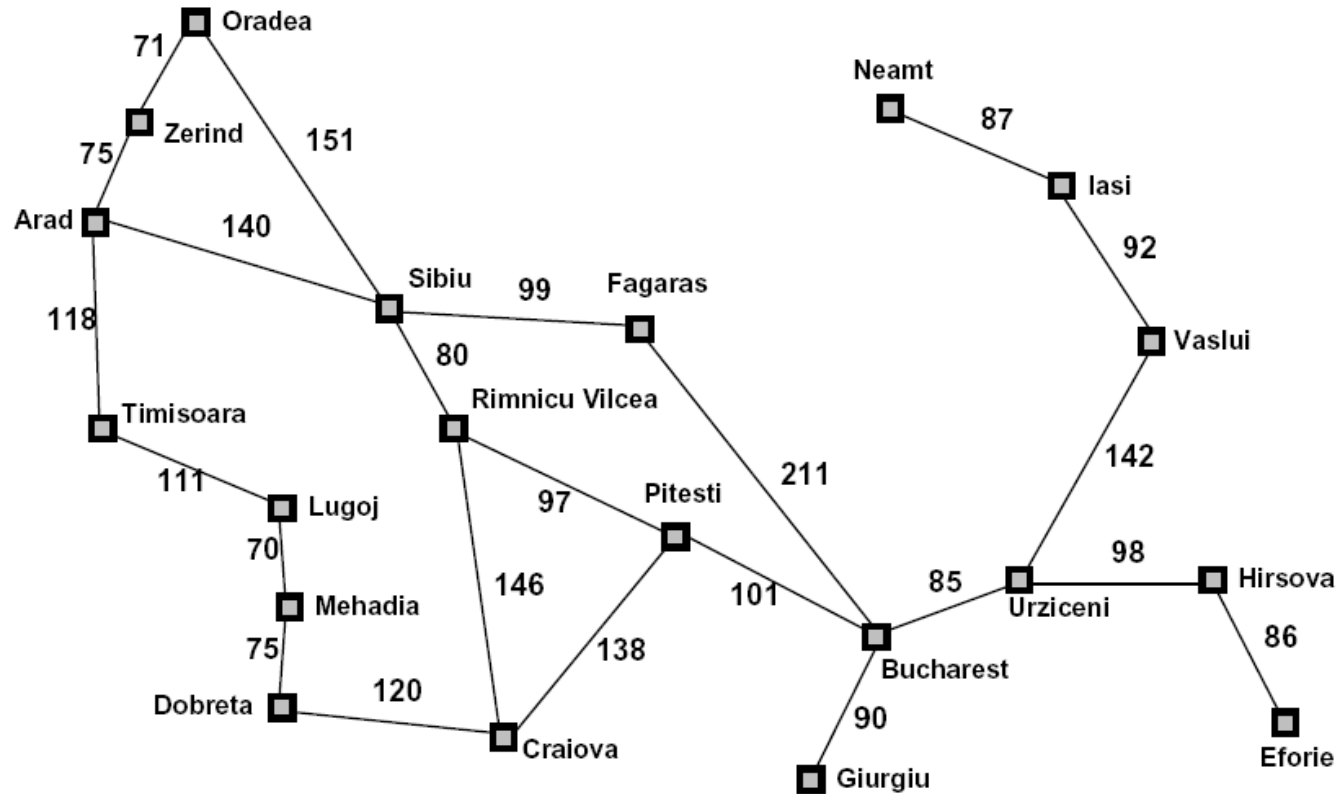


# Search Heuristics

- A heuristic is:
  - A function that *estimates* how close a state is to a goal
  - Designed for a particular search problem
  - Examples: Manhattan distance, Euclidean distance for pathing



# Example: Heuristic Function



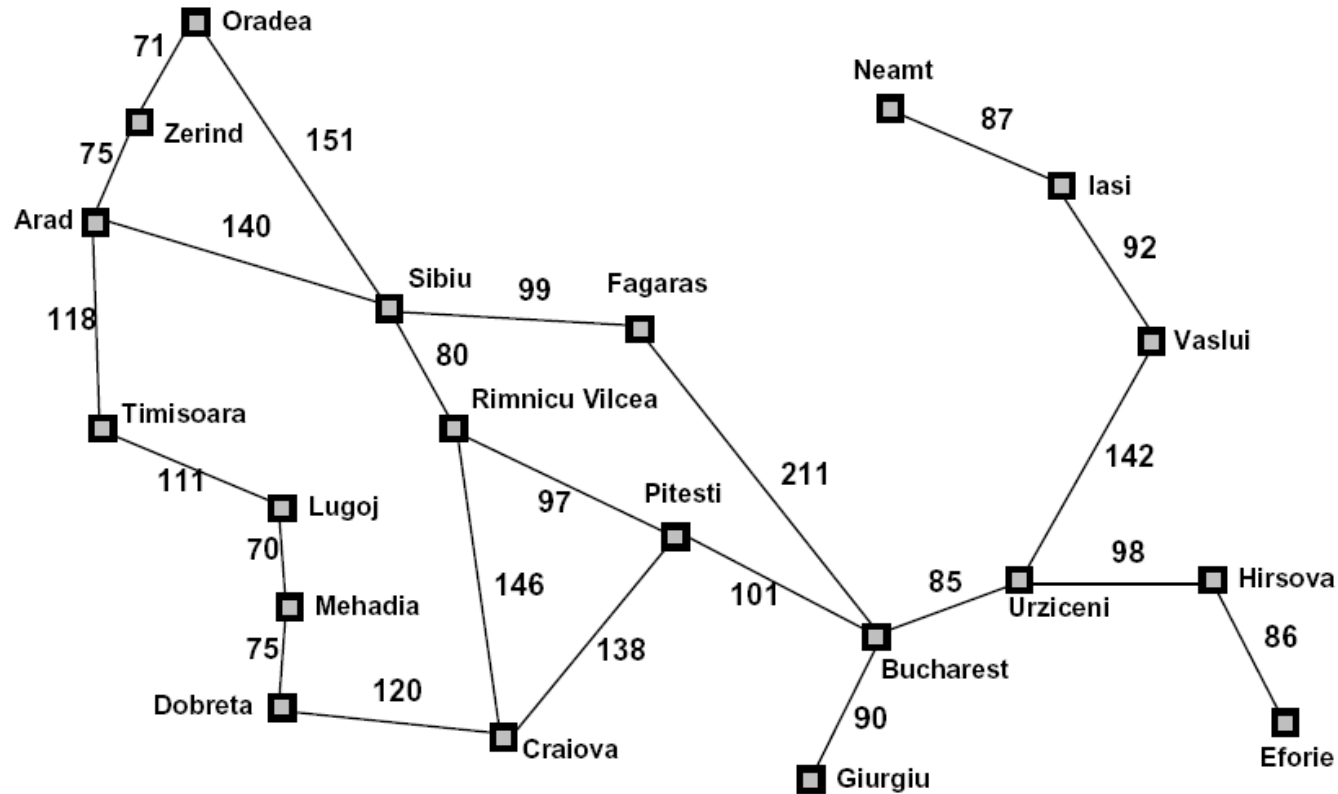
Straight-line distance to Bucharest	
Arad	366
Bucharest	0
Craiova	160
Dobreta	242
Eforie	161
Fagaras	178
Giurgiu	77
Hirsova	151
Iasi	226
Lugoj	244
Mehadia	241
Neamt	234
Oradea	380
Pitesti	98
Rimnicu Vilcea	193
Sibiu	253
Timisoara	329
Urziceni	80
Vaslui	199
Zerind	374

$h(x)$

# Greedy Search



# Example: Heuristic Function

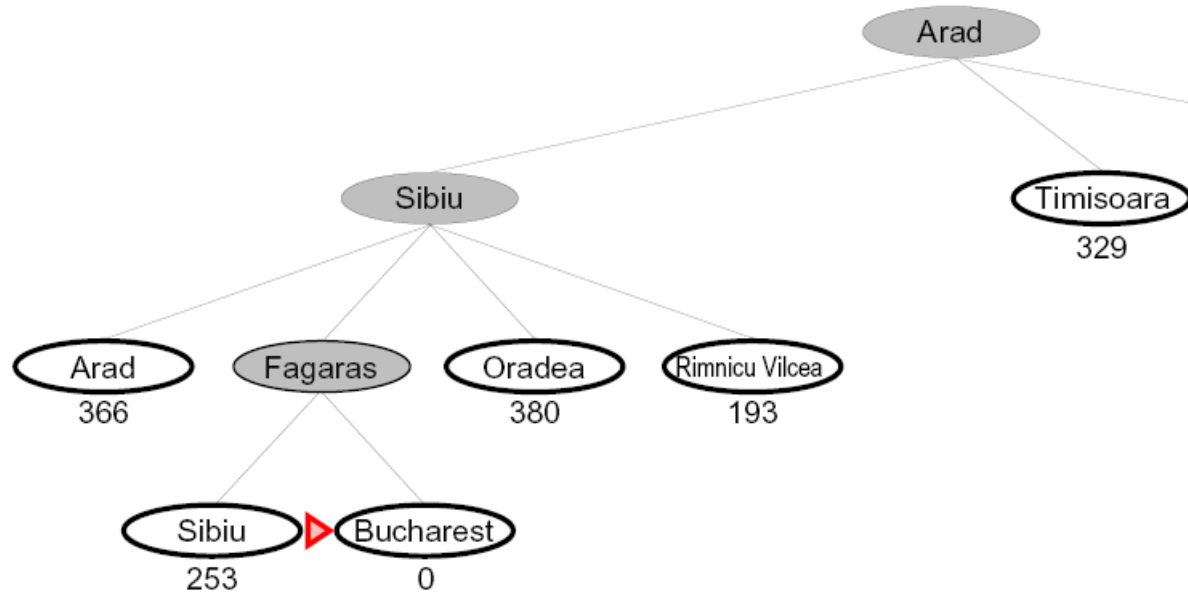


Straight-line distance to Bucharest	
Arad	366
Bucharest	0
Craiova	160
Dobreta	242
Eforie	161
Fagaras	178
Giurgiu	77
Hirsova	151
Iasi	226
Lugoj	244
Mehadia	241
Neamt	234
Oradea	380
Pitesti	98
Rimnicu Vilcea	193
Sibiu	253
Timisoara	329
Urziceni	80
Vaslui	199
Zerind	374

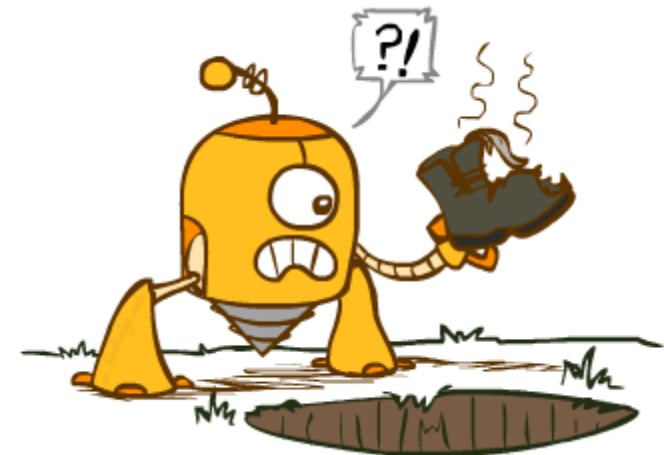
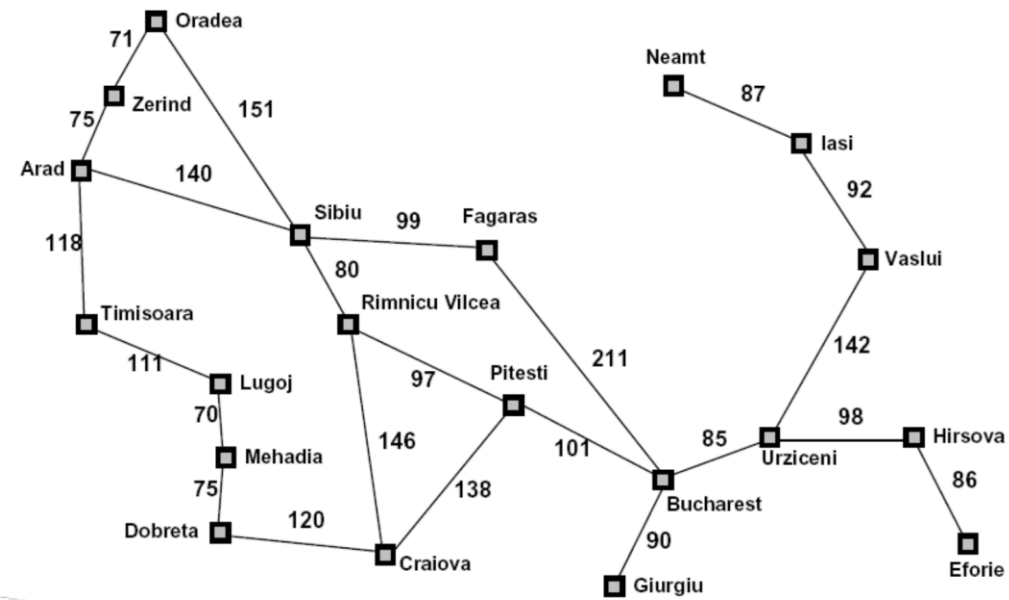
$h(x)$

# Greedy Search

- Expand the node that seems closest...



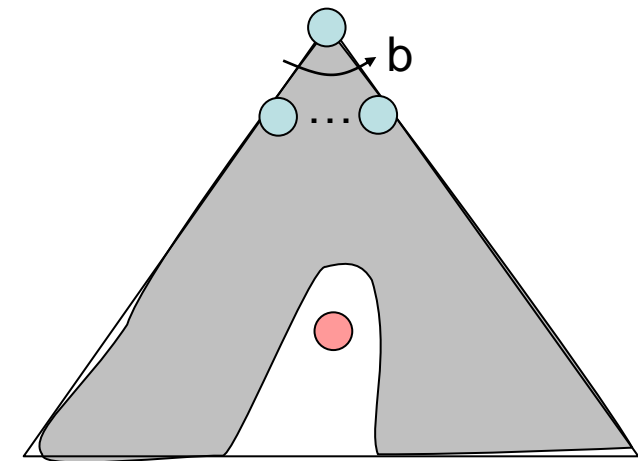
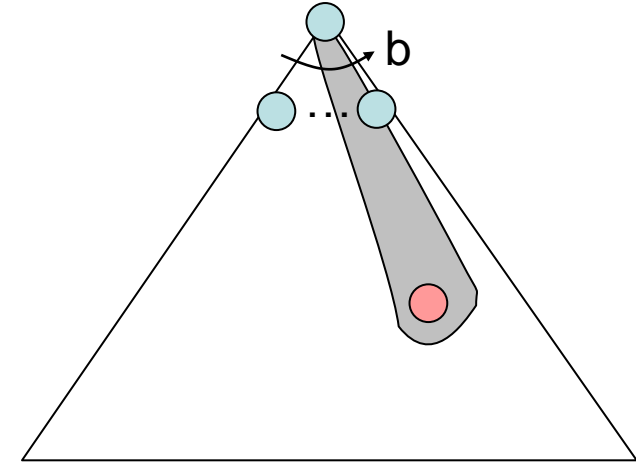
- What can go wrong?





# Greedy Search

- Strategy: expand a node that you think is closest to a goal state
  - Heuristic: estimate of distance to nearest goal for each state
- A common case:
  - Best-first takes you straight to the (wrong) goal
- Worst-case: like a badly-guided DFS

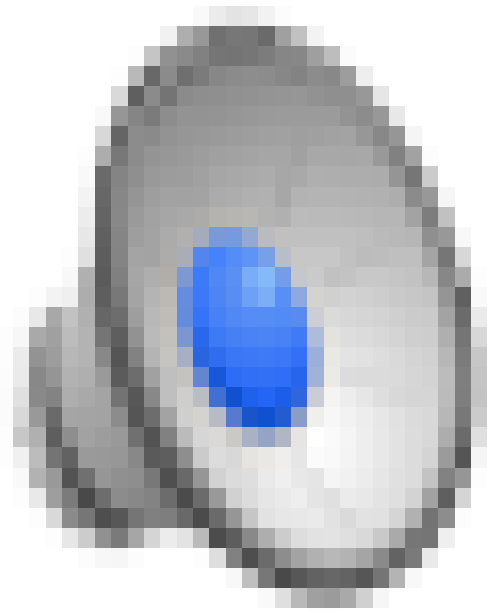


[Demo: contours greedy empty (L3D1)]

[Demo: contours greedy pacman small maze (L3D4)]

# Video of Demo Contours Greedy (Pacman Small Maze)

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# A\* Search

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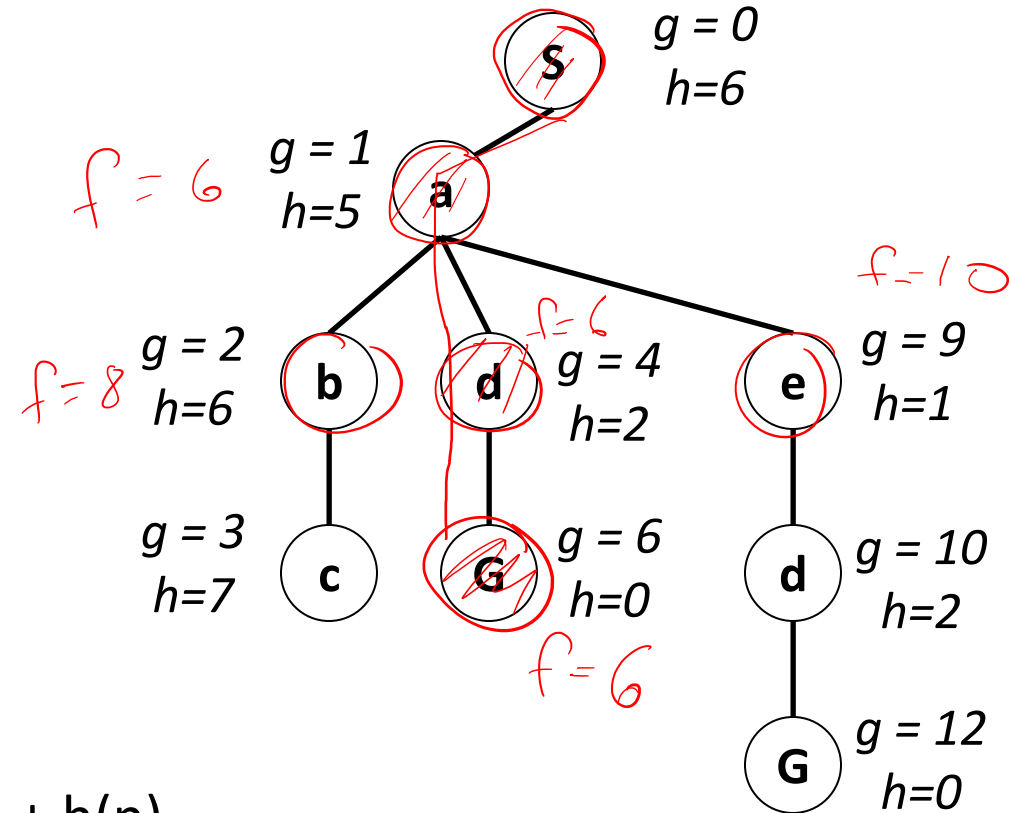
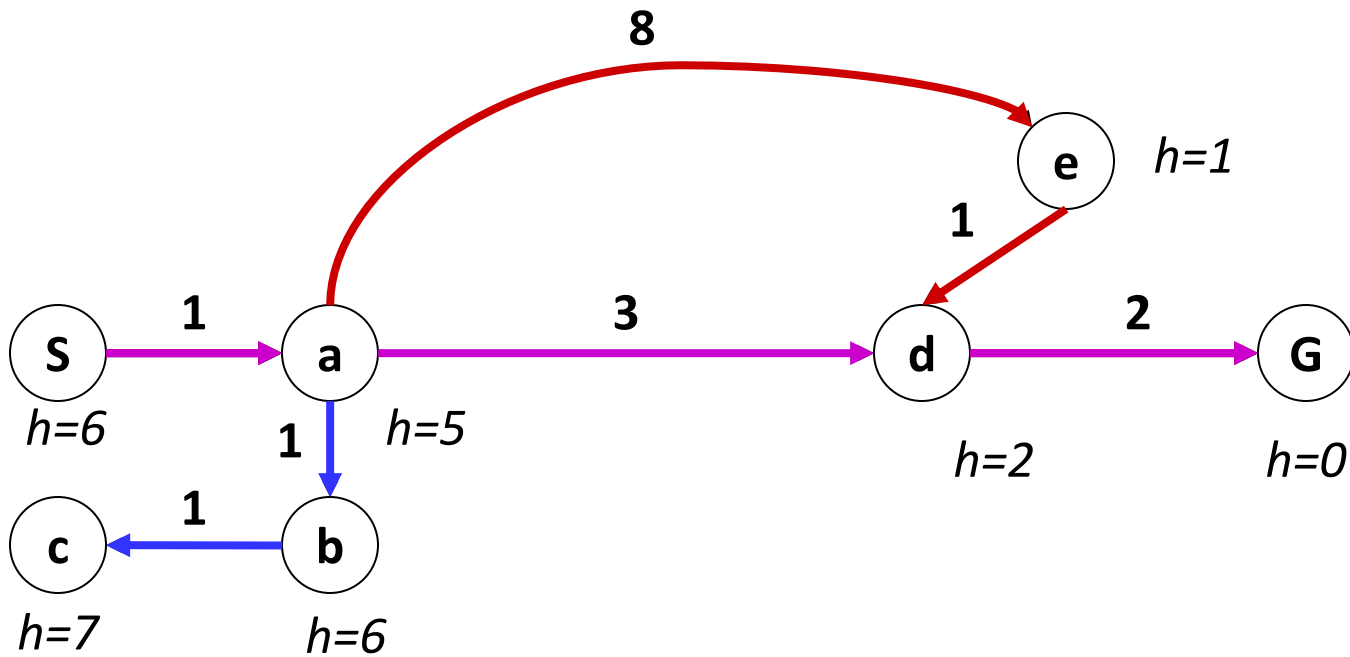


# A\* Search

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# Combining UCS and Greedy

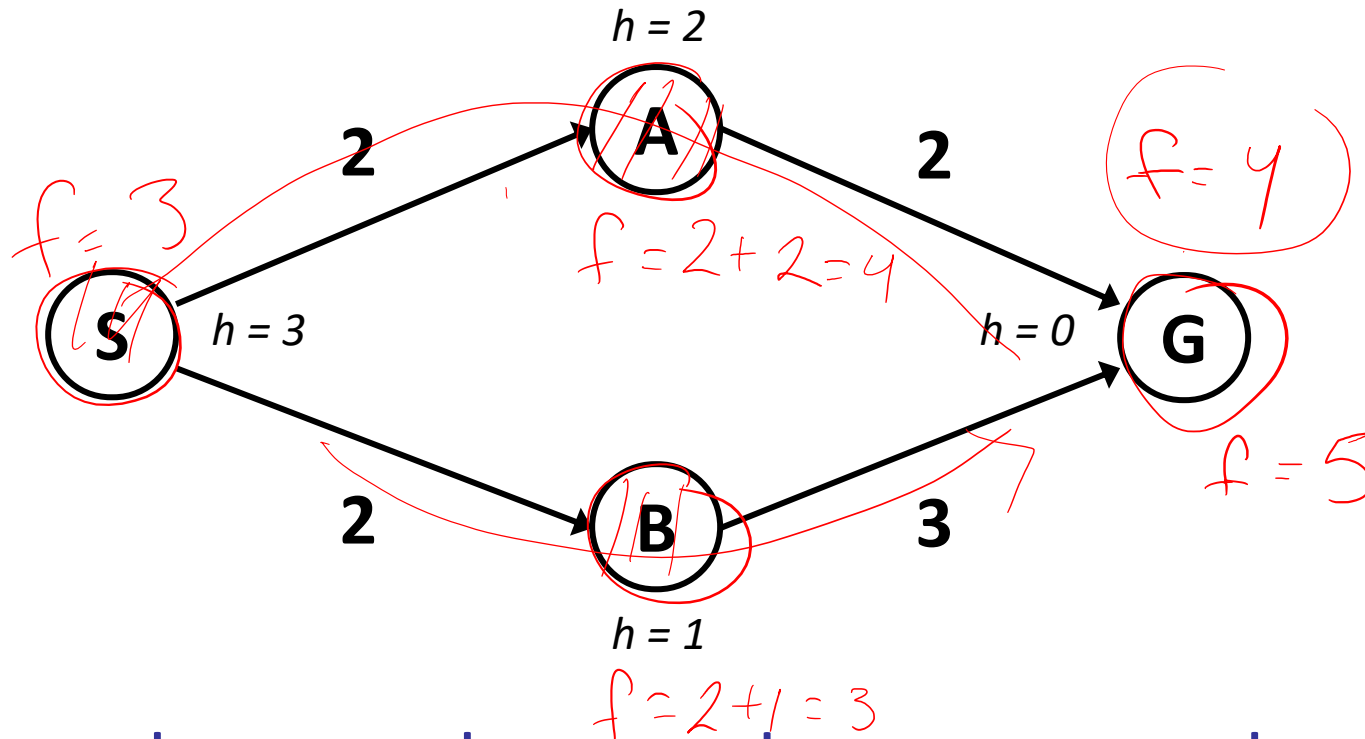
- Uniform-cost orders by path cost, or *backward cost*  $g(n)$
- Greedy orders by goal proximity, or *forward cost*  $h(n)$



- A\* Search orders by the sum:  $f(n) = g(n) + h(n)$

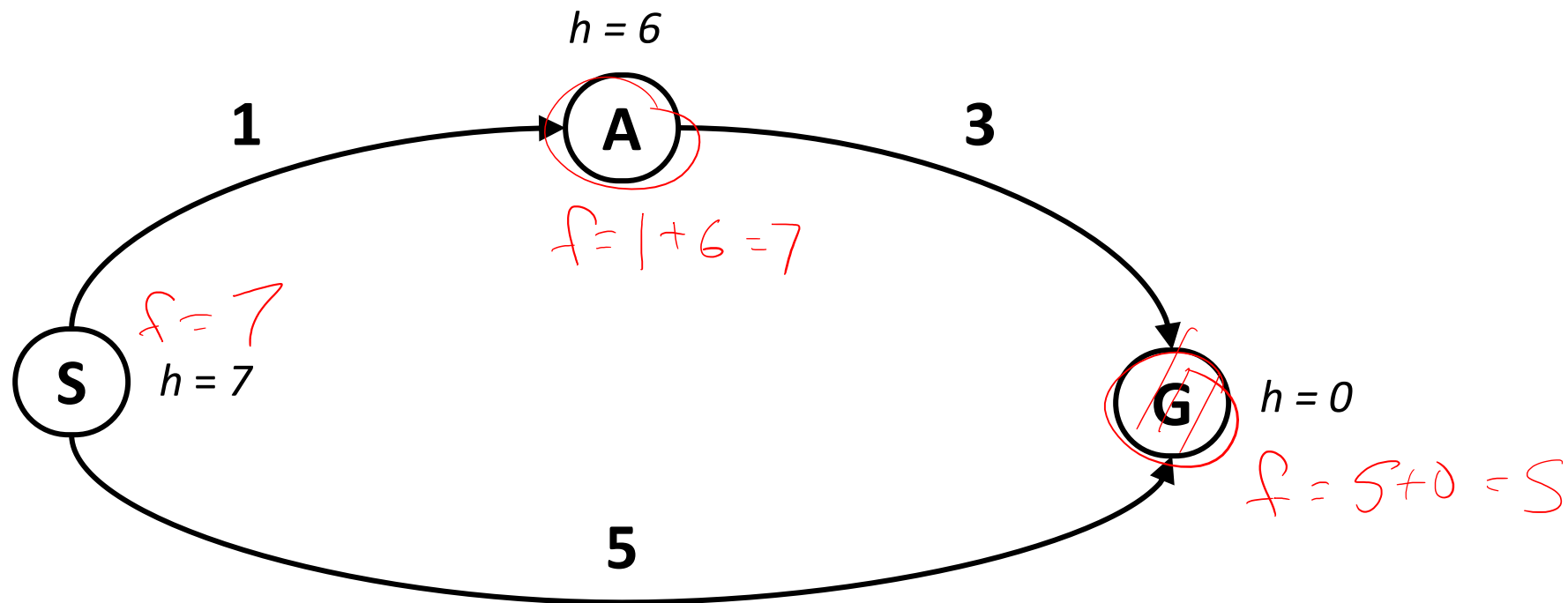
# When should A\* terminate?

- Should we stop when we enqueue a goal?



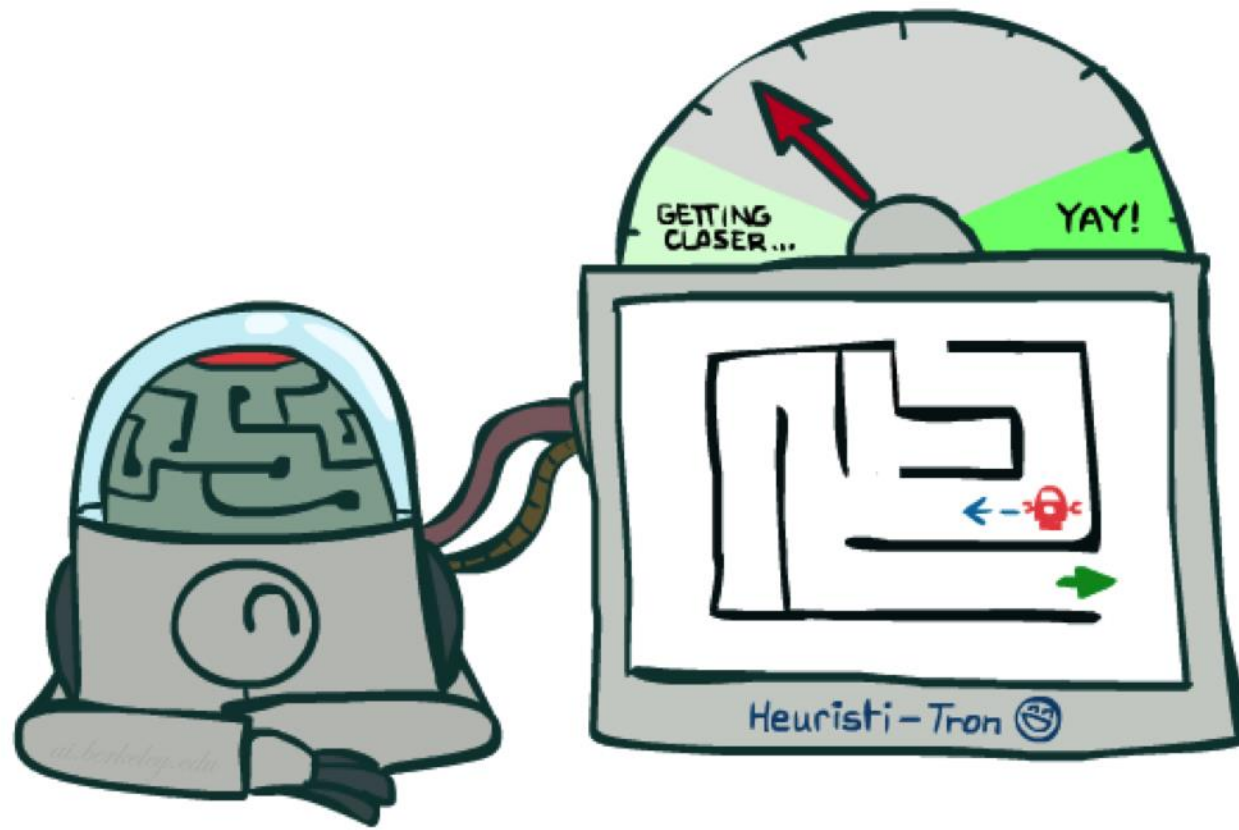
- No: only stop when we dequeue a goal

# Is A\* Optimal?



- What went wrong?
- Actual bad goal cost < estimated good goal cost
- We need estimates to be less than actual costs!

# Admissible Heuristics





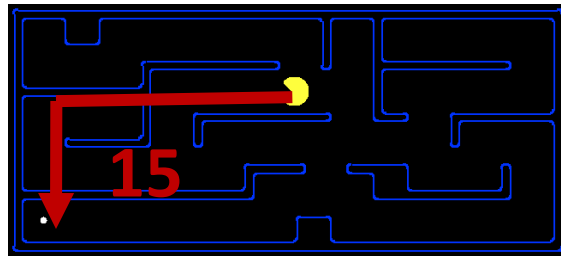
# Admissible Heuristics

- A heuristic  $h$  is *admissible* (optimistic) if:

$$0 \leq h(n) \leq h^*(n)$$

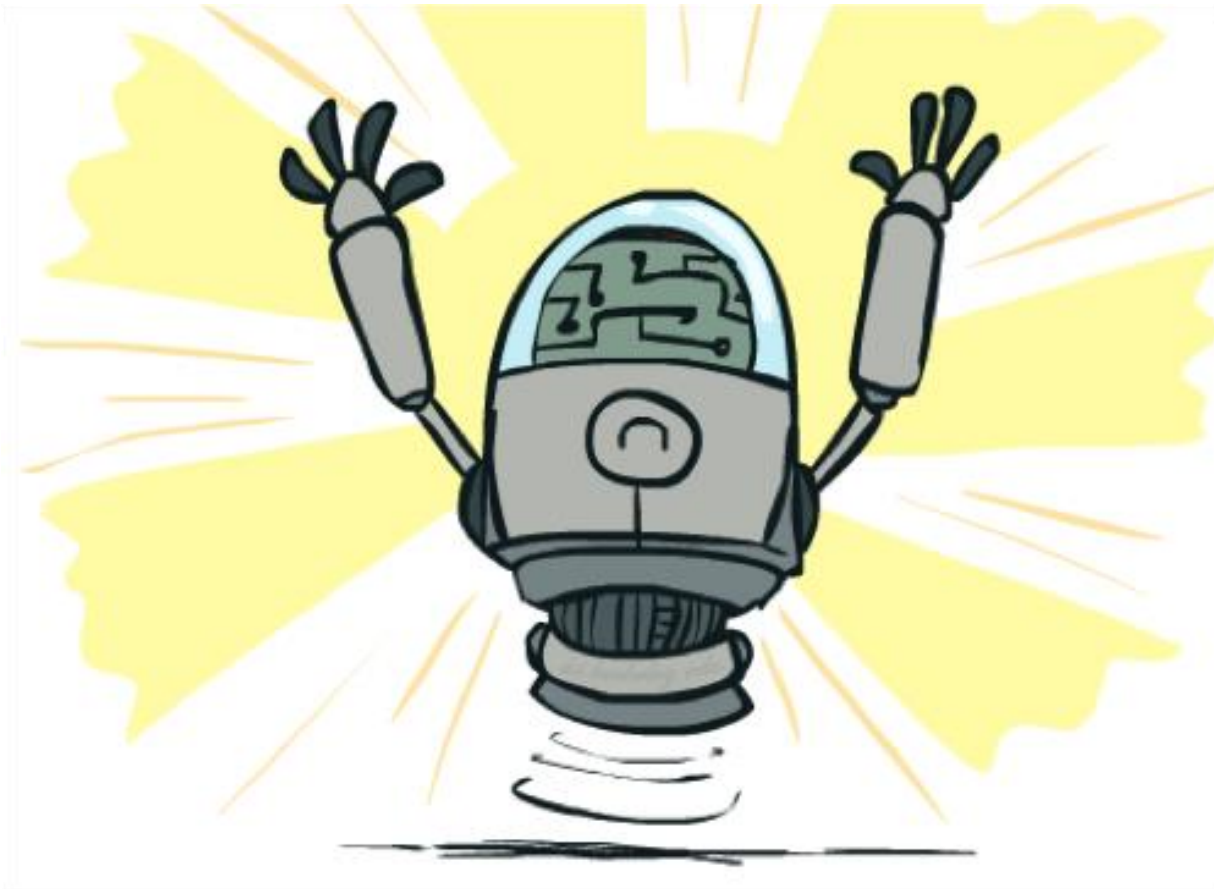
where  $h^*(n)$  is the true cost to a nearest goal

- Examples:



- Coming up with admissible heuristics is most of what's involved in using  $A^*$  in practice.

# Optimality of A\* Tree Search



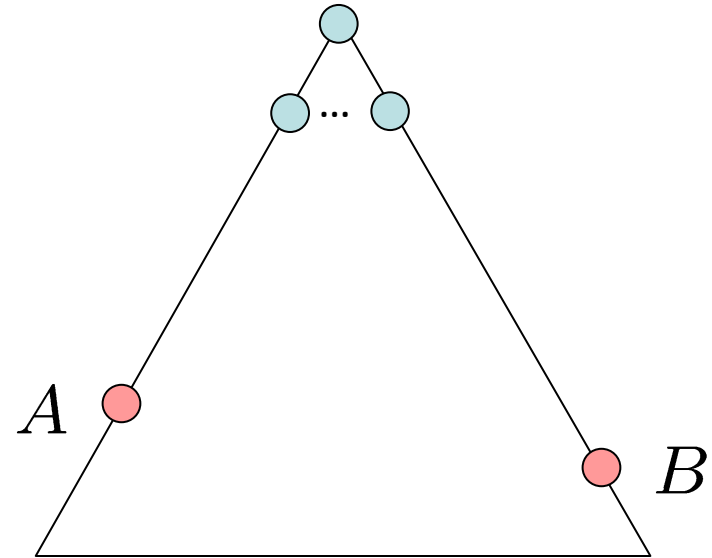
# Optimality of A\* Tree Search

Assume:

- A is an optimal goal node
- B is a suboptimal goal node
- $h$  is admissible

Claim:

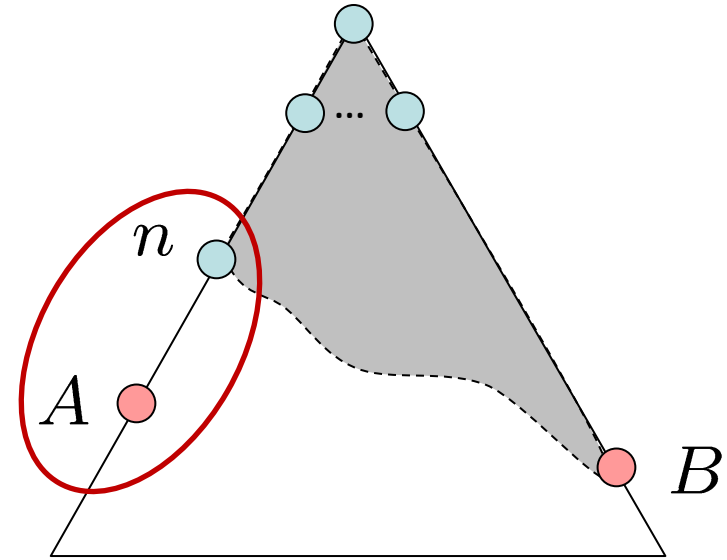
- A will exit the fringe before B



# Optimality of A\* Tree Search: Blocking

Proof:

- Imagine B is on the fringe
- Some ancestor  $n$ , that is along the optimal path to A, is on the fringe, too (maybe A!)
- Claim:  $n$  will be expanded before B
  1.  $f(n)$  is less or equal to  $f(A)$



$$f(n) = g(n) + h(n)$$

Definition of f-cost

$$f(n) \leq g(n) + h^*(n)$$

Admissibility of h

$$= g(A)$$

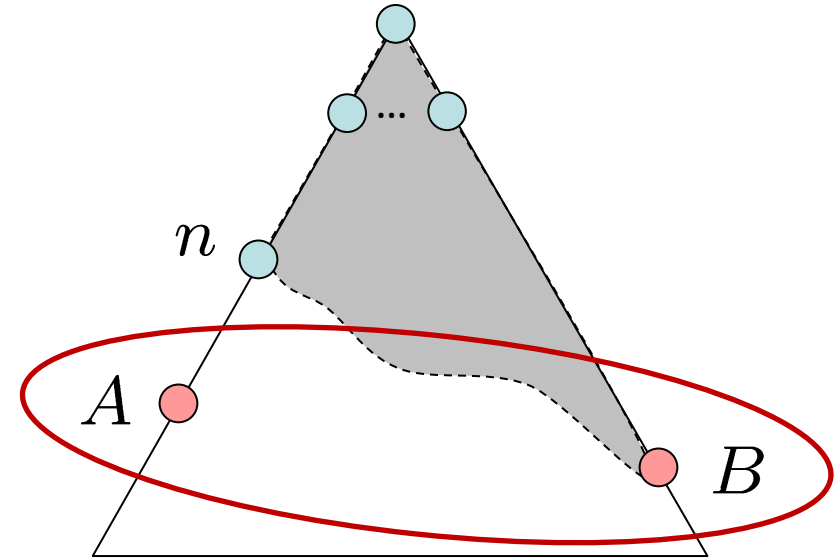
$h = 0$  at a goal

$$= f(A)$$

# Optimality of A\* Tree Search: Blocking

Proof:

- Imagine B is on the fringe
- Some ancestor  $n$ , that is along the optimal path to A, is on the fringe, too (maybe A!)
- Claim:  $n$  will be expanded before B
  1.  $f(n)$  is less or equal to  $f(A)$
  2.  $f(A)$  is less than  $f(B)$



$$g(A) < g(B)$$

$$f(A) < f(B)$$

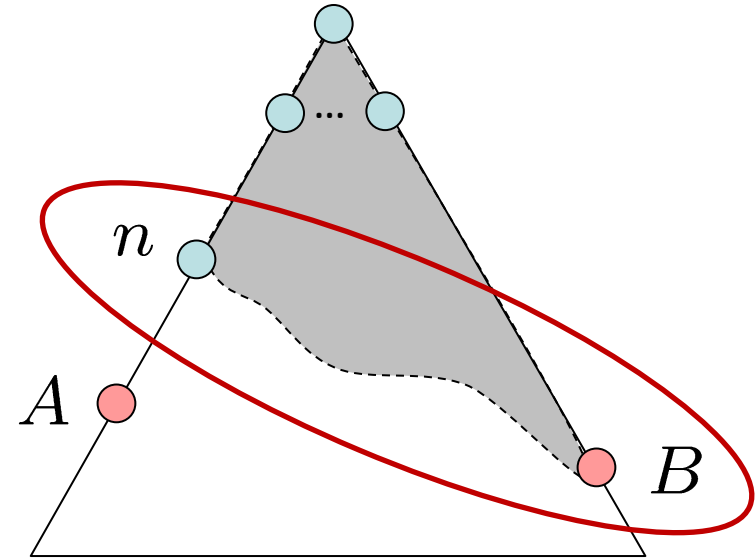
B is suboptimal

$h = 0$  at a goal

# Optimality of A\* Tree Search: Blocking

Proof:

- Imagine B is on the fringe
- Some ancestor  $n$ , that is along the optimal path to A, is on the fringe, too (maybe A!)
- Claim:  $n$  will be expanded before B
  1.  $f(n)$  is less or equal to  $f(A)$
  2.  $f(A)$  is less than  $f(B)$
  3.  $n$  expands before B
- All ancestors along optimal path to A expand before B
- A expands before B
- A\* search is optimal

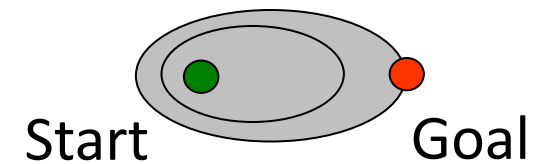
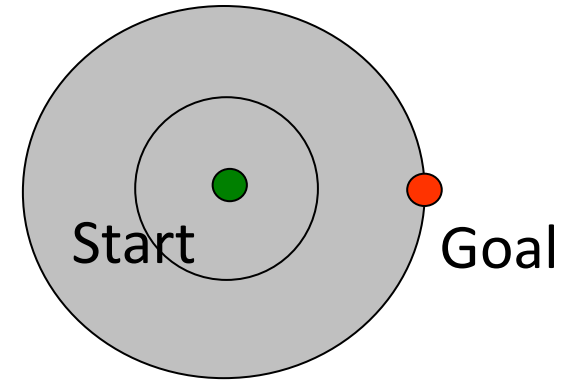


$$f(n) \leq f(A) < f(B)$$

# Properties of $A^*$

# UCS vs A\* Contours

- Uniform-cost expands equally in all “directions”
- A\* expands mainly toward the goal, but does hedge its bets to ensure optimality



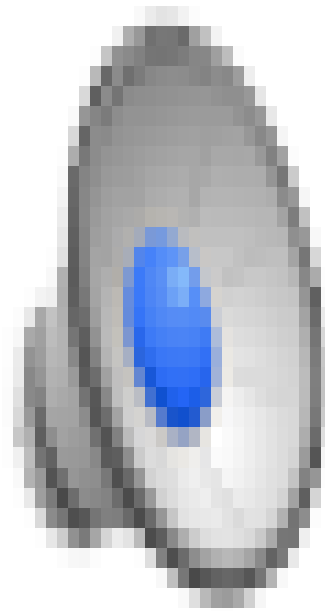
[Demo: contours UCS / greedy / A\* empty (L3D1)]

[Demo: contours A\* pacman small maze (L3D5)]



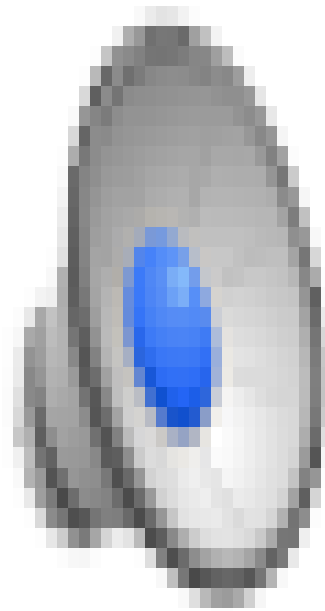
# Video of Demo Contours (Empty) -- UCS

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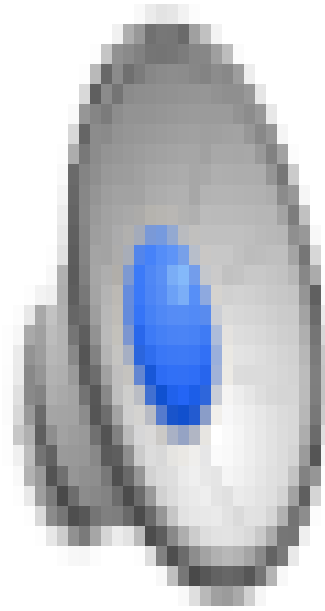
# Video of Demo Contours (Empty) -- Greedy

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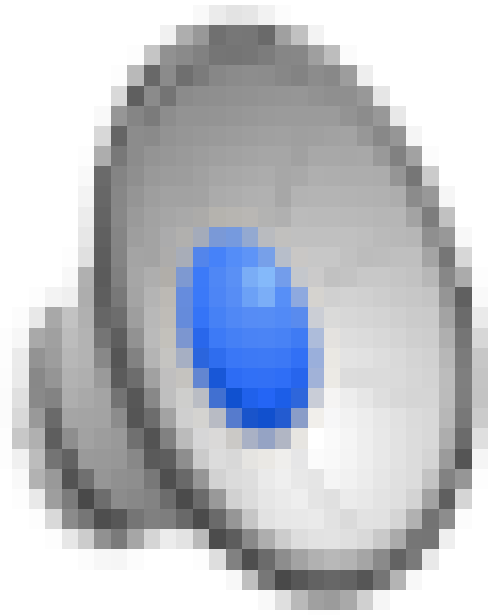
# Video of Demo Contours (Empty) – A\*

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# Video of Demo Contours (Pacman Small Maze) – A\*

---



# Comparison



Greedy



Uniform Cost



A\*

# A\* Applications



# A\* Applications

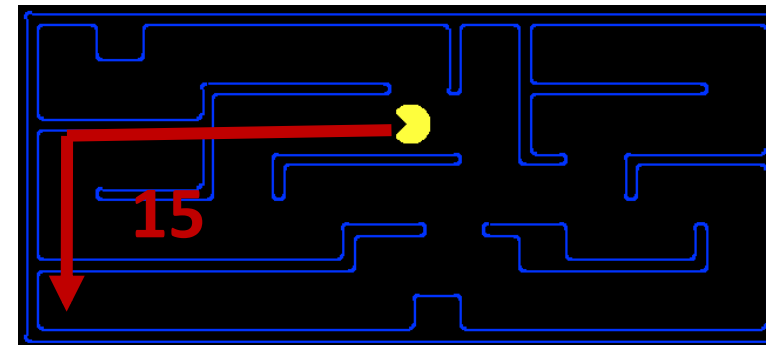
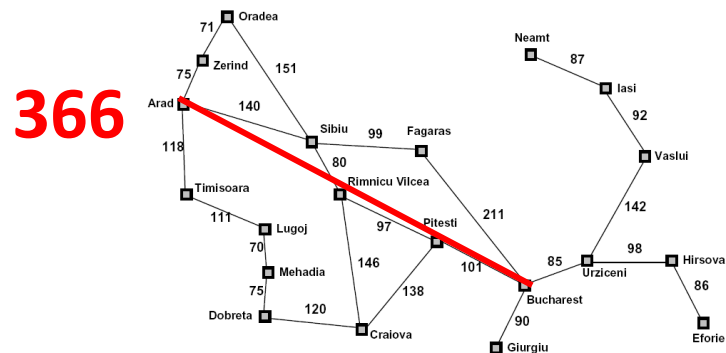
- Video games
- Pathing / routing problems
- Resource planning problems
- Robot motion planning
- Language analysis
- Machine translation
- Speech recognition
- ...



[Demo: UCS / A\* pacman tiny maze (L3D6,L3D7)]  
[Demo: guess algorithm Empty Shallow/Deep (L3D8)]

# Creating Admissible Heuristics

- Most of the work in solving hard search problems optimally is in coming up with admissible heuristics
- Often, admissible heuristics are solutions to *relaxed problems*, where new actions are available



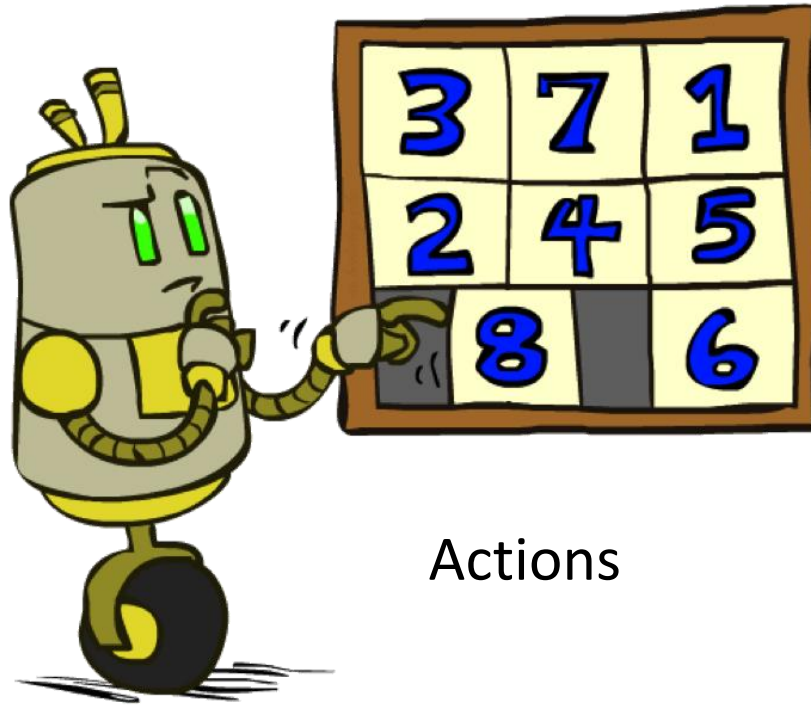
- Inadmissible heuristics are often useful too



# Example: 8 Puzzle

7	2	4
5		6
8	3	1

Start State



Actions

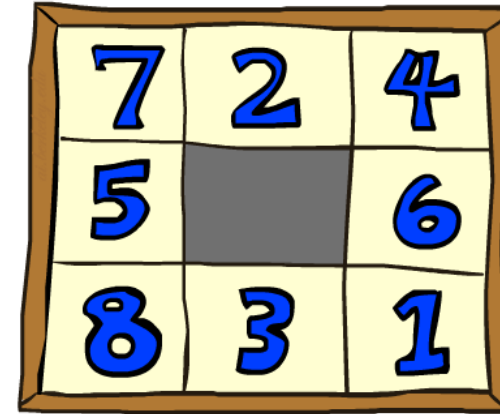
	1	2
3	4	5
6	7	8

Goal State

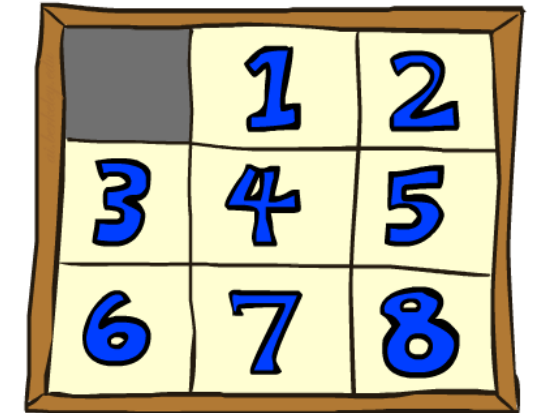
- What are the states?
- How many states?
- What are the actions?
- How many successors from the start state?
- What should the costs be?

# 8 Puzzle I

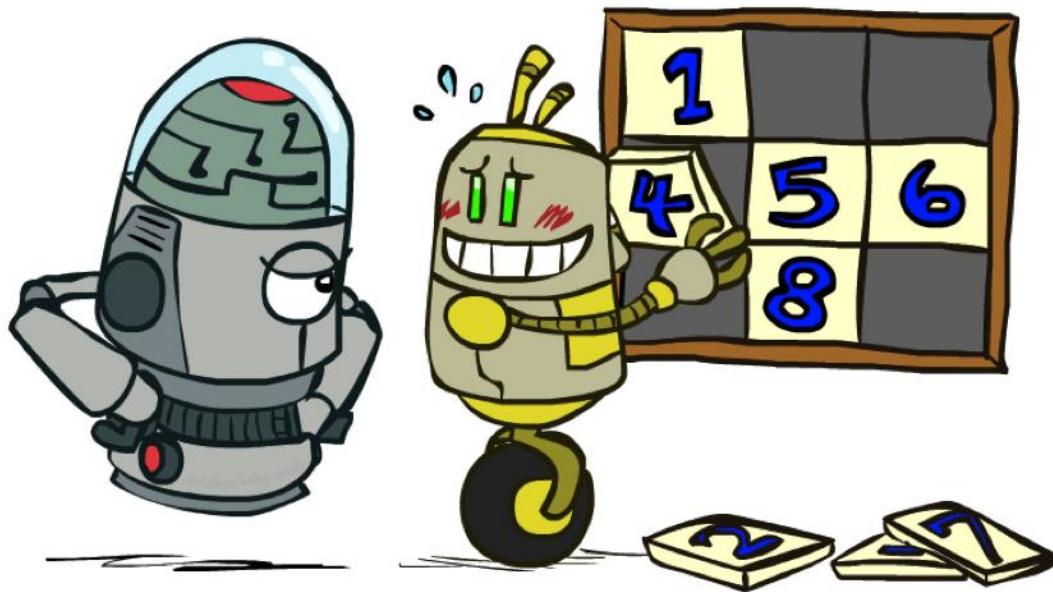
- Heuristic: Number of tiles misplaced
- Why is it admissible?
- $h(\text{start}) = 8$
- This is a *relaxed-problem* heuristic



Start State



Goal State

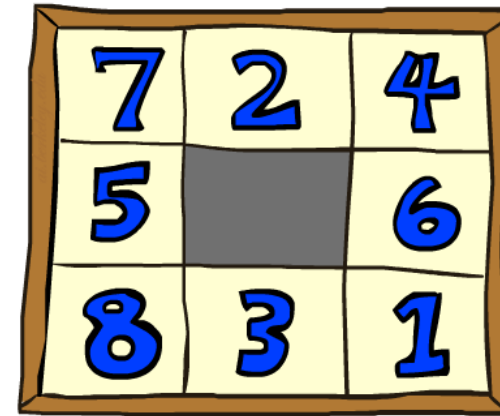


Average nodes expanded  
when the optimal path has...

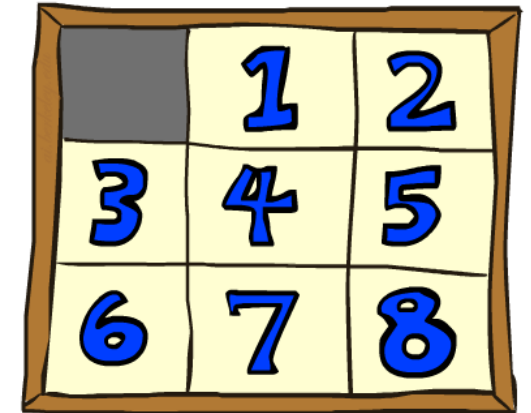
	...4 steps	...8 steps	...12 steps
UCS	112	6,300	$3.6 \times 10^6$
TILES	13	39	227

# 8 Puzzle II

- What if we had an easier 8-puzzle where any tile could slide any direction at any time, ignoring other tiles?
- Total *Manhattan* distance
- Why is it admissible?
- $h(\text{start}) = 3 + 1 + 2 + \dots = 18$



Start State

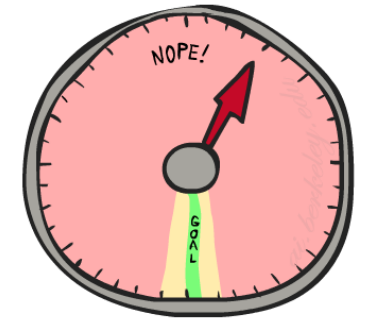
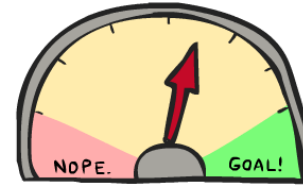


Goal State

Average nodes expanded when the optimal path has...			
	...4 steps	...8 steps	...12 steps
TILES	13	39	227
MANHATTAN	12	25	73

# Heuristics

- How about using the *actual cost* as a heuristic?
  - Would it be admissible?
  - Would we save on nodes expanded?
  - What's wrong with it?



- With  $A^*$ : a trade-off between quality of estimate and work per node
  - As heuristics get closer to the true cost, you will expand fewer nodes but usually do more work per node to compute the heuristic itself

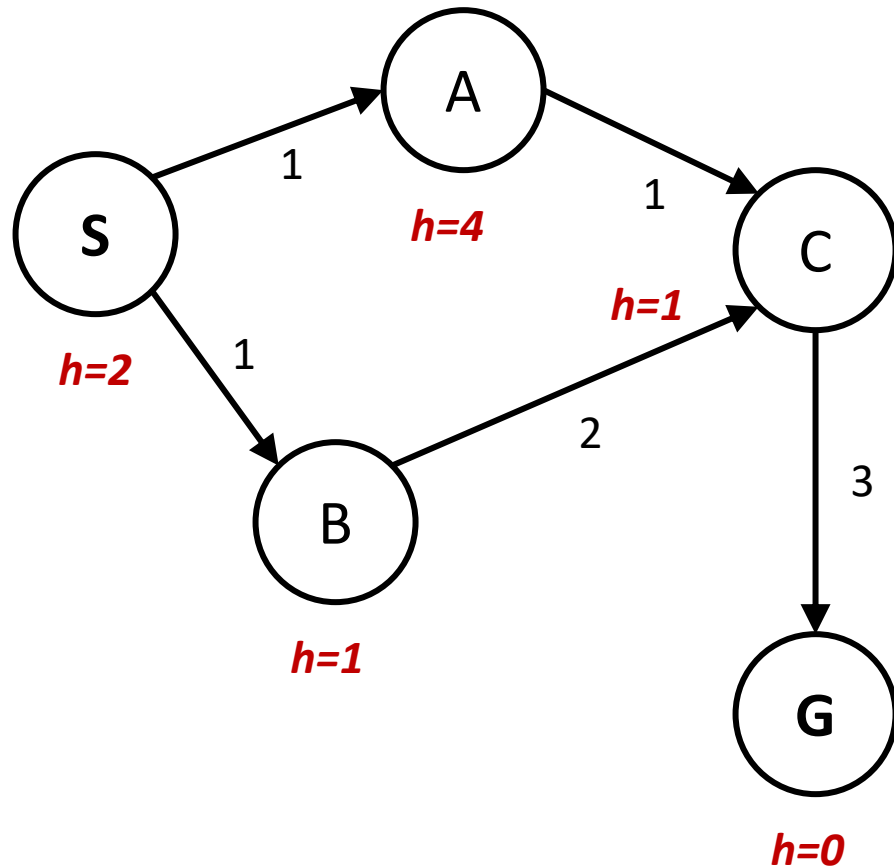
# Graph Search Pseudo-Code

```
function GRAPH-SEARCH(problem, fringe) return a solution, or failure
  closed ← an empty set
  fringe ← INSERT(MAKE-NODE(INITIAL-STATE[problem]), fringe)
  loop do
    if fringe is empty then return failure
    node ← REMOVE-FRONT(fringe)
    if GOAL-TEST(problem, STATE[node]) then return node
    if STATE[node] is not in closed then
      add STATE[node] to closed
      for child-node in EXPAND(STATE[node], problem) do
        if STATE[child-node] is not in closed then fringe ← INSERT(child-node, fringe)
      end
    end
  end
end
```

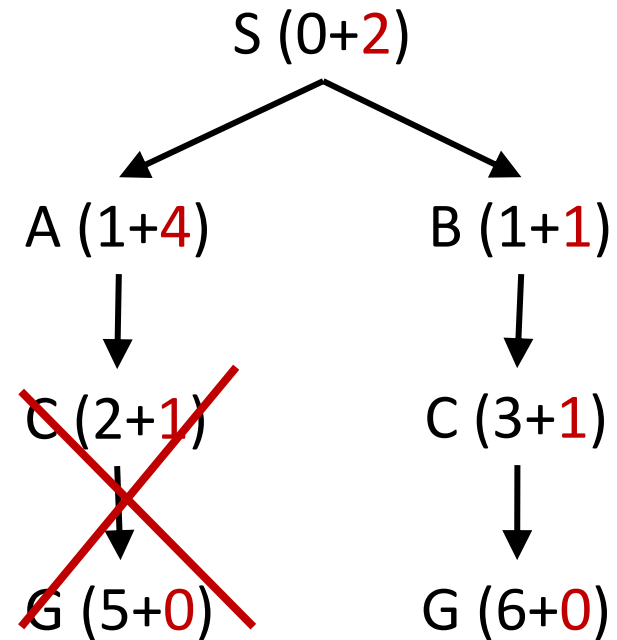
Use this version for the homeworks, projects, and exams!

# A\* Graph Search Gone Wrong?

State space graph

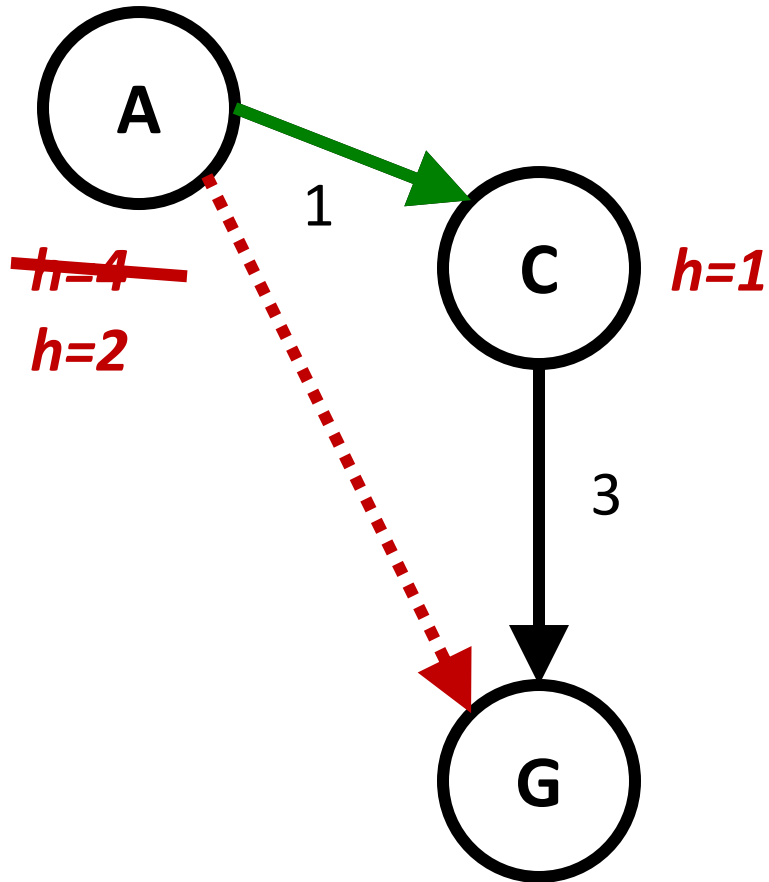


Search tree



C is already in closed set  
so not expanded again

# Consistency of Heuristics



- Main idea: estimated heuristic costs  $\leq$  actual costs
  - Admissibility: heuristic cost  $\leq$  actual cost to goal
$$h(A) \leq \text{actual cost from A to G}$$
  - Consistency: heuristic "arc" cost  $\leq$  actual cost for each arc
$$h(A) - h(C) \leq \text{cost(A to C)}$$
- Consequences of consistency:
  - The f value along a path never decreases
$$h(A) \leq \text{cost(A to C)} + h(C)$$
  - A\* graph search is optimal

# Semi-Lattice of Heuristics



# Trivial Heuristics, Dominance

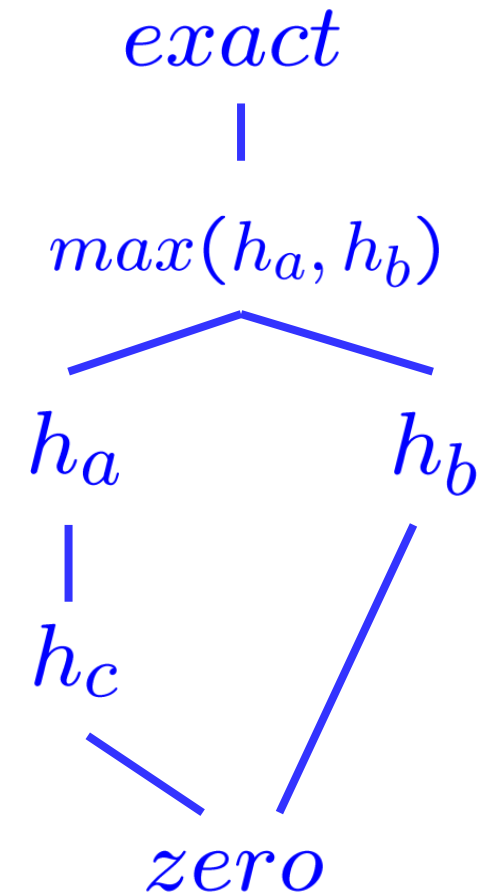
- Dominance:  $h_a \geq h_c$  if

$$\forall n : h_a(n) \geq h_c(n)$$

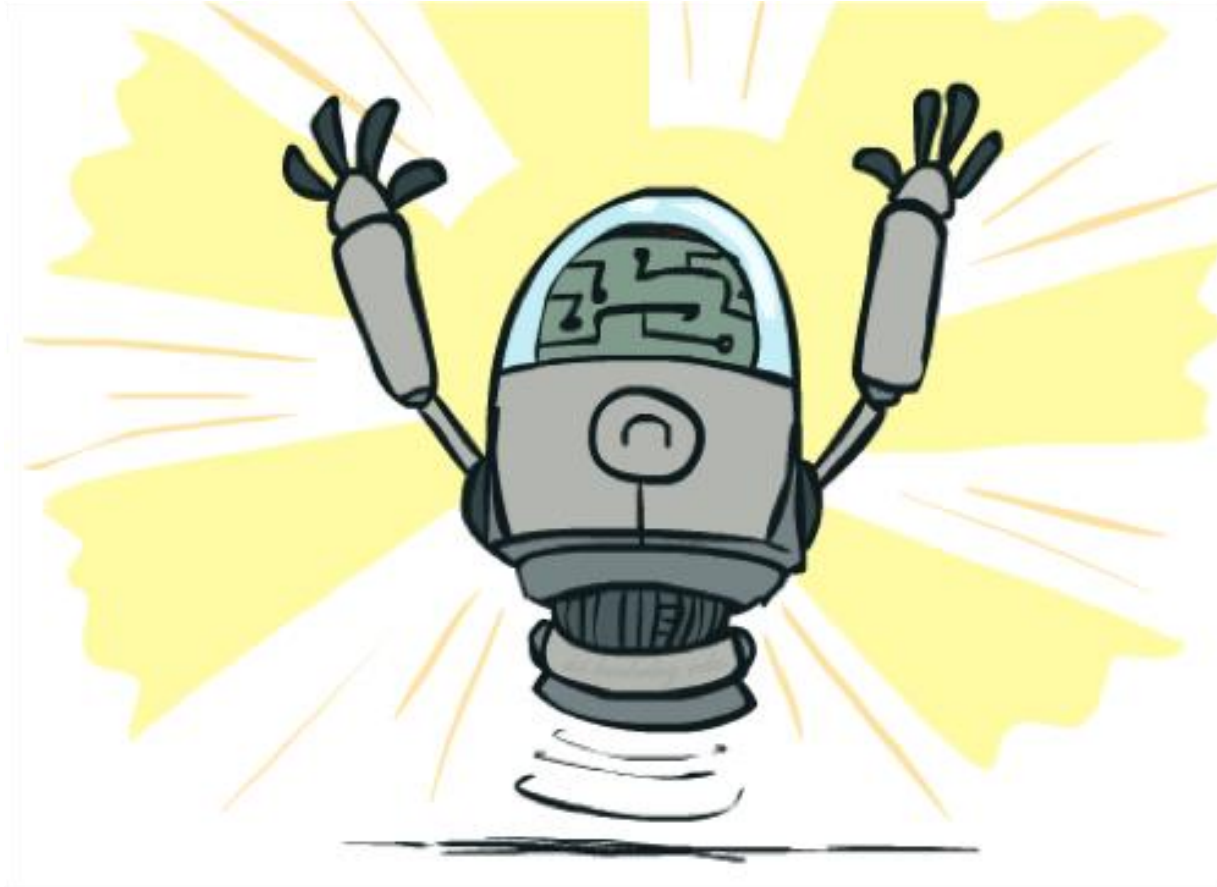
- Heuristics form a semi-lattice:
  - Max of admissible heuristics is admissible

$$h(n) = \max(h_a(n), h_b(n))$$

- Trivial heuristics
  - Bottom of lattice is the zero heuristic (what does this give us?)
  - Top of lattice is the exact heuristic

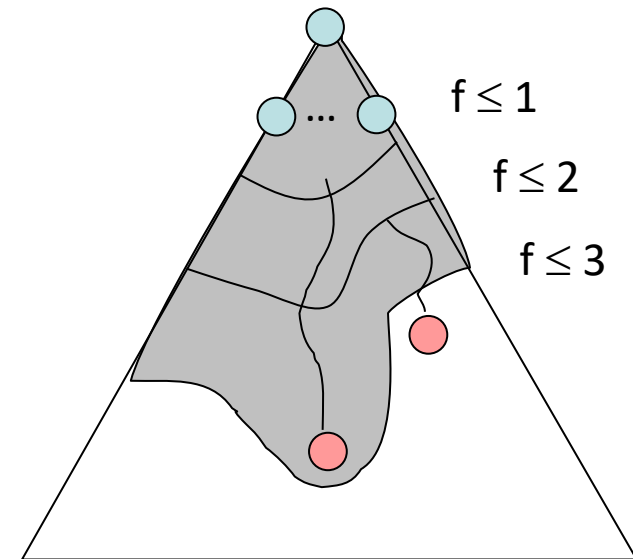


# Optimality of A\* Graph Search



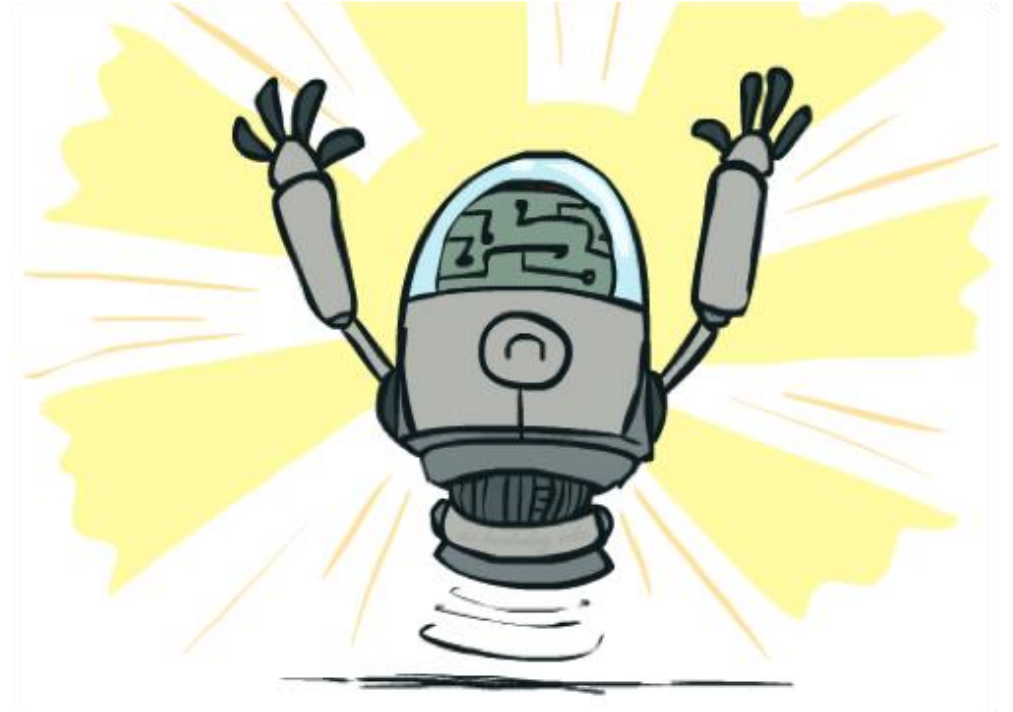
# Optimality of A\* Graph Search

- Sketch: consider what A\* does with a consistent heuristic:
  - Fact 1: A\* expands nodes in increasing total f value (f-contours)
  - Fact 2: For every state s, nodes that reach s optimally are expanded before nodes that reach s suboptimally
  - Result: A\* graph search is optimal



# Optimality

- Tree search:
  - A\* is optimal if heuristic is admissible
  - UCS is a special case ( $h = 0$ )
- Graph search:
  - A\* optimal if heuristic is consistent
  - UCS optimal ( $h = 0$  is consistent)
- Consistency implies admissibility
- In general, most natural admissible heuristics tend to be consistent, especially if from relaxed problems



# A\*: Summary

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# A\*: Summary

- A\* uses both backward costs and (estimates of) forward costs
- A\* is optimal with admissible / consistent heuristics
- Heuristic design is key: often use relaxed problems

